

Khovanov homology for 4-manifolds

(Davis, Jan 31 2012) ①

Today I'm going to define a vector-space

$$Kh(W^4),$$

an invariant of 4-manifolds.

In fact, the 4-manifold may have boundary,
and a link in the boundary:

$$Kh(W^4; L \subset \partial W).$$

When $W^4 = B^4$, this is just the usual Khovanov homology of L .

② To do this, I'm going to walk you through
a recipe for an invariant of n -manifolds,
starting with an "n-category".

We'll see Khovanov homology can provide a 4-category!

We need one new fact about Khovanov homology
but otherwise we're following a standard recipe.

What is a ("disklike") 2-category?

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- For $k=0,1,2$, a functor from k -balls to Set.

e.g. $F_0(\bullet) = \{ \bullet \}$ (the singleton, containing the 0-ball)

$F_1(\sim) = \{ \text{finite subsets of the 1-ball} \}$

$F_2(\text{disk}) = \{ \text{embedded 1-manifolds, transverse to the boundary} \}$
 linear combinations, all with the same boundary



- Boundary restrictions:

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if $X^{k-1} \subset \partial B^k$, e.g. B^2

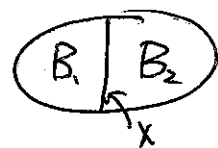
we have functions

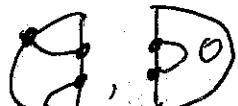

$F_k(B) \xrightarrow{\text{"restriction"}} F_{k-1}(X)$

e.g.  \mapsto 

- Gluing: whenever we have a ball $B = B_1 \cup_x B_2$

a map $F_k(B_1) \times F_k(B_2) \rightarrow F_k(B)$
 $\downarrow \cong F_k(B_i) \rightarrow F_k(X)$



e.g.  \mapsto 

Usually, we want to impose relations at the top level.

Example $F_2(\mathbb{S}^1) = \mathbb{C} \{ \text{embedded 1-manifolds} \} / \sim$

where \sim could be: ~~all the vector spaces~~

~~isotopy rel ∂~~ , $\partial = \emptyset$ (all the vector spaces are finite dimensional)

{as above, and \cup , $\delta = \mathbb{Z}$ (all the vector spaces are 1-dimensional!)

{as above, and $\delta = \sqrt{2}$, ~~isotopy rel ∂~~

$$\{ \{ (\ast + \sqrt{2}) \cup_n + \sqrt{2} \cup_n \mid + \cup_n + \cup_n = 0 \} \}$$

(vector space with 2^n boundary points dimension 2^{n-1})

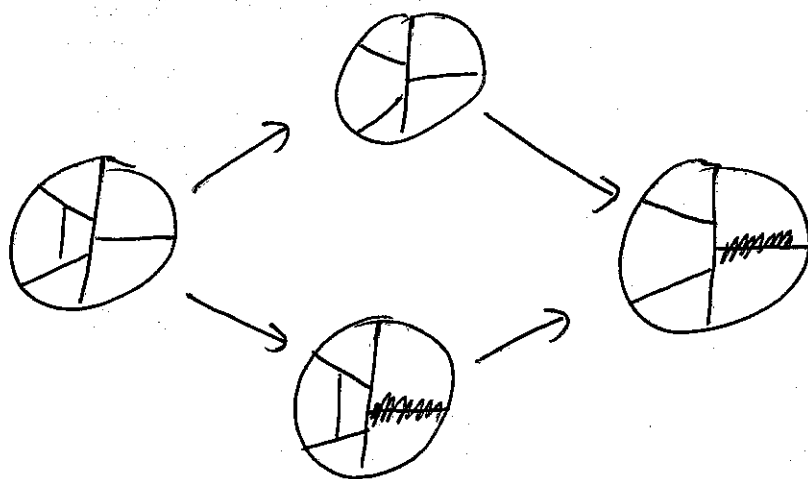
1	1
2	2
4	4
8	8
16	16
32	32

How do we build invariants of n -manifolds?

⑥

Definition ($F(W^n)$, a vector space)

Consider the poset $D(W)$ of "ball decompositions" of W .



F gives a functor $D(W) \rightarrow \text{Vect}$:

$$F(\text{circle with 4 quadrants}) = \bigoplus_{\partial \text{ conditions}} F(\triangleleft) \otimes F(\triangleright) \otimes F(\triangleleft) \otimes F(\triangleright) \otimes F(\square)$$

$F(\text{circle with 4 quadrants} \rightarrow \text{circle with 4 quadrants})$ is the gluing map $F(\square) \otimes F(\square) \rightarrow F(\square)$

$$F_{\rightarrow}(W) = \lim_{d(W)} F$$

Lets examine an example:

$$F_2(O) = \mathbb{C}\langle \{ \text{diagram} \} \rangle / \substack{O=4 \\ \substack{\cup \\ \cup}}$$

$$F_{\rightarrow 2}(\text{diagram}) = \mathbb{C}\langle \{ \text{diagram} \} \rangle / \substack{O=1 \\ \substack{\cup \\ \cup}}$$

embedded
1-manifolds

$$= \mathbb{C}\langle H_2(\Sigma, \mathbb{Z}/2\mathbb{Z}) \rangle$$

(4-dimensional
for a torus)

with $F_2(O) = \mathbb{C}\langle \{ \text{diagram} \} \rangle / \substack{O=\sqrt{2} \\ \substack{\cup \\ \cup \\ \cup \\ \cup \\ \cup}}$

$F_{\rightarrow 2}(\text{diagram})$ is ~~9~~ 9-dimensional.

"homology with coefficients in a 'quantum finite group'"

These are examples of Turaev-Viro theories, and indeed this formalism captures all of Turaev-Viro, Reshetikhin-Turaev, and Crane-Yetter TQFT.

What is the Khovanov 4-category?

$$Kh_0(\bullet) = \{ \bullet \}$$

$$Kh_1(\sim) = \{ \sim \}$$

$$Kh_2(\text{torus}) = \{ \text{torus with 3 dots} \}$$

$$Kh_3(\text{torus with dots}) = \{ \text{torus with dots and crossings} \}$$

$$Kh_4(\text{torus with } L \text{ and } B^4) = Kh(L)$$

→ a doubly graded vector space

I had a significant difficulty!



We need $Kh(LCS^3)$, ~~and in order to be sure~~

~~of the structure of the knot we need~~

but Khovanov homology as usually discussed has a combinatorial construction that only gives an invariant of a link in B^3 .

Before we had 'categorical knot invariants', there was no such distinction —

• from a link in S^3 , deleting a point gives a link in B^3 ,

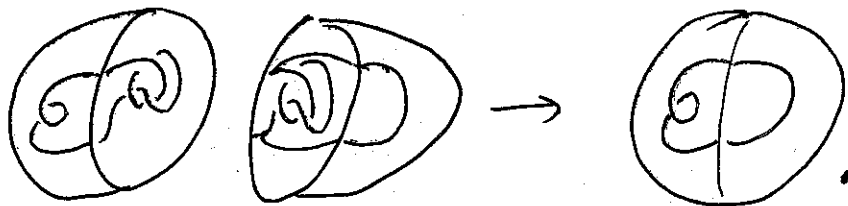
and $L \underset{\text{isotopy}}{\sim} L'$ in S^3 iff $L \sim L'$ in B^3 .

How do we define the gluing maps?

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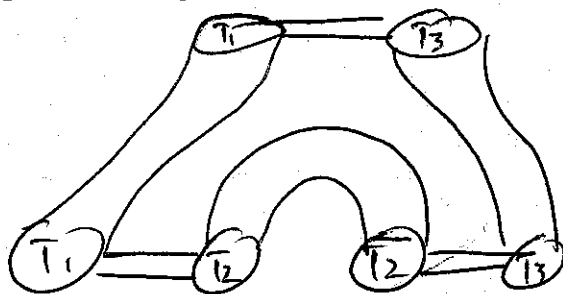
Given tangles T_1, T_2, T_3 , we need maps

$$Kh(\overbrace{T_1 \cup T_2}^{\text{top}}) \otimes Kh(\overbrace{T_2 \cup T_3}^{\text{top}}) = Kh(\overbrace{T_1 \cup T_3}^{\text{top}})$$

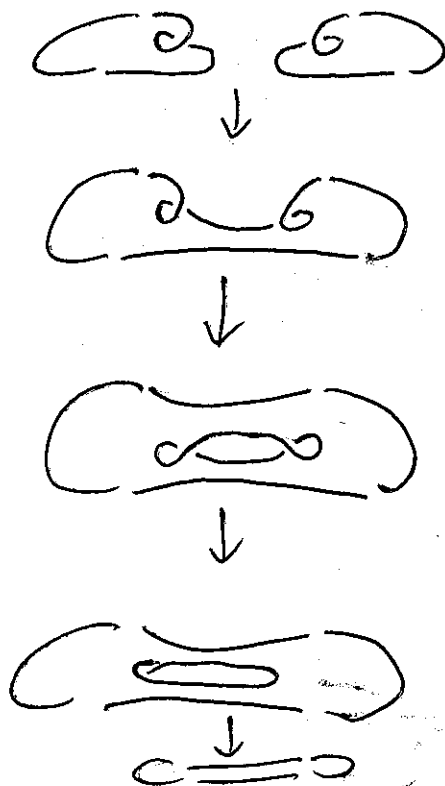


Khovanov homology is "functorial"; every link cobordism gives a linear map.

There is a cobordism:



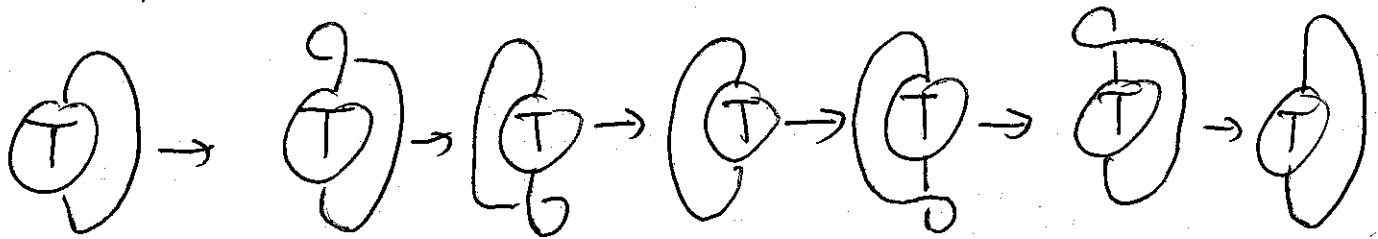
e.g.



This gives the desired gluing maps.

- (11)
- For Khovanov homology, we want
 - a particular vector space for each link
 - a isomorphism between the vector spaces for each isotopy between links
 - if two cobordisms between links are themselves isotopic, we get the same linear map

In S^3 , cobordisms can be isotopic, but stop being isotopic when we delete a point.



We need to check that the map Khovanov homology gives us is the identity!

(Theorem - there's nothing else in the way of lifting Khovanov homology to S^3 .)

We need to understand the map $\frac{1}{\text{⊕}} \rightarrow \frac{1}{\text{⊕}}$.

Theorem mod 2, this map is 'diagonal': on each resolution $\phi \in \mathcal{C}$, the map is given by the sequence of Reidemeister moves:

$$\frac{1}{\text{⊕}} \rightarrow \frac{1}{\text{⊕}} \rightarrow \frac{1}{\text{⊕}} \rightarrow \dots \rightarrow \frac{1}{\text{⊕}}$$

"Proof" The Reidemeister 3 map $\frac{1}{\text{⊕}} \rightarrow \frac{1}{\text{⊕}}$

looks like

$$\begin{array}{ccc} \frac{1}{\text{⊕}} & \xrightarrow{\alpha} & \frac{1}{\text{⊕}} \\ \downarrow & \searrow \beta & \downarrow \\ \frac{1}{\text{⊕}} & \xrightarrow{\gamma} & \frac{1}{\text{⊕}} \end{array}$$

and (working mod 2), γ is just two Reidemeister moves.

Any maps that factor through an "off-diagonal" β term contribute zero.

Theorem The sweep around map is the identity.

Putting it all together: mod 2 Khovanov homology gives a disklike 4-category, and the usual recipe gives an invariant of 4-manifolds.