

Khovanov homology for 4-manifolds and the blob complex ①

"Khovanov homology" is a gadget associating

- to each link $L \subset B^3$ a chain complex $Kh_*(L)$, and
- to each cobordism $\Sigma: L_0 \rightarrow L_1$,

a chain map $Kh(\Sigma): Kh_*(L_0) \rightarrow Kh_*(L_1)$,

such that

- isotopic cobordisms give homotopic maps, and
- there is an exact triangle

$$\dots \rightarrow Kh(\smile) \rightarrow Kh(\frown) \rightarrow Kh(\cap) \rightarrow \dots$$

(For small links it's often computable directly from the exact triangle.)

Moreover there is an extension to tangles: (2)

$Kh(T)$ is a complex in a category B_n

(where T has n boundary points, and

$B_0 = \text{Vec}$ recovers the invariant of links)

and when $T = T_1 \cup T_2$ we can compute

$Kh(T)$ from $Kh(T_1)$ and $Kh(T_2)$.

Khovanov homology is intrinsically 4-dimensional. (3)

Can we use it to understand 4-manifolds?

We'll assemble all this algebraic structure into a disklike 4-category from which we immediately obtain TQFT invariants, associating a vector space $Kh(W^4; LCS^3)$ to each 4-manifold (possibly with a link in the boundary).

It generalizes Khovanov homology:

$$Kh(B^4; LCS^3) = Kh(L).$$

Today:

(4)

- Khovanov homology
- disklike n -categories and n -dimensional TQFT
- Khovanov homology as a disklike 4-category
- why we need more — the blob complex

A disklike n -category consists of

(5)

- functors $\mathcal{C}_k: \{k\text{-balls}\} \longrightarrow \text{Set}$ for $0 \leq k \leq n$
 \downarrow
 $\{ \text{homeomorphisms} \}$

" $\mathcal{C}_k(X)$ is "the set of k -morphisms of shape X "

~~restriction maps $\mathcal{C}_k(X) \rightarrow \mathcal{C}_{k-1}(Y)$ when $Y \subset \partial X$.~~

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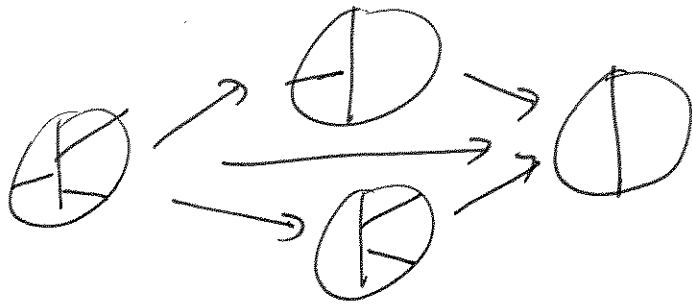
- gluing maps $\mathcal{C}_k(X_1) \times_{\mathcal{C}_{k-1}(Y)} \mathcal{C}_k(X_2) \longrightarrow \mathcal{C}_k(X_1 \cup_Y X_2)$

such that

- at level n , isotopic homeomorphisms act identically
- the gluing maps are strictly associative.

From a disklike n -category we immediately obtain invariants of n -manifolds. (6)

Given M an n -manifold, consider $\mathcal{D}(M)$, the poset of ball decompositions:



The ~~disklike~~ n -category \mathcal{C} gives a functor $\mathcal{D}(M) \rightarrow \mathbf{Set}$
 and the TQFT skein module is

$$A(M; \mathcal{C}) = \operatorname{colim}_{\mathcal{D}(M)} \mathcal{C}$$

Examples

(7)

① $\mathcal{C}_{R \leq n}(X^R) = \text{Maps}(X \rightarrow T)$, $\mathcal{C}_n(X^n) = [X \rightarrow T]_{\text{rel } \partial}$

and then $A(M; \mathcal{C}) = [M \rightarrow T]_{\text{rel } \partial}$

② \mathcal{C} a fusion category,

$A(\Sigma^2; \mathcal{C})$ is the Turaev-Viro vector space for a surface

③ \mathcal{C} a modular tensor category,

$A(M^3; \mathcal{C})$ is the Reshetikhin-Turaev vector space
for ∂M .

④ Let's try to make Khovanov homology a 4-category!

Khovanov homology as a 4-category

(8)

We specify the morphisms on k -balls
(with boundaries decorated by $k-1$ morphisms):

$$Kh_0(\bullet) = \{ \bullet \}$$

$$Kh_1(\sim) = \{ \sim \}$$

$$Kh_2(\square) = \{ \square \}$$

$$Kh_3(\text{circle with 3 dots}) = \{ \text{circle with 3 dots and 3 lines} \}$$

$$Kh_4(\text{circle with 4 dots}) = Kh(L)$$

} codimension 2
submanifolds

What are the gluing maps?

Given $T_1 \# T_2$ and $T_2 \# T_3$ we need a map

$$Kh(T_1 \# T_2) \otimes Kh(T_2 \# T_3) \rightarrow Kh(T_1 \# T_3)$$

and there is an obvious cobordism implementing this.

There is a significant technical detail here:

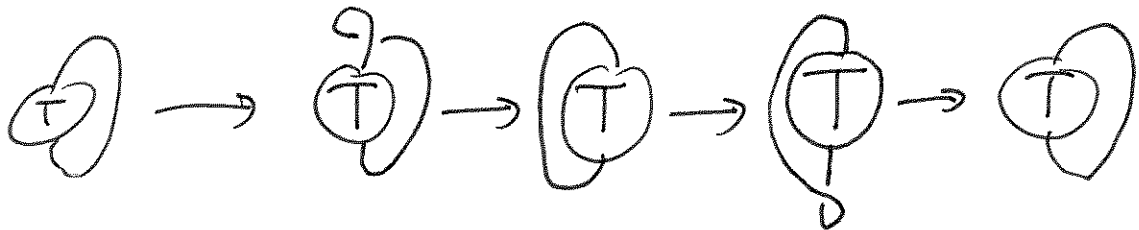
(9)

~~Define $Kh(L)$~~

Khovanov homology is defined ~~by via link diagrams~~
via chain complexes for link diagrams
and chain equivalences for link isotopies.

As we need an action of $\text{Diff}(S^3)$ on $Kh(L)$,
we require slightly more than is usually meant by
"functoriality of Khovanov homology".

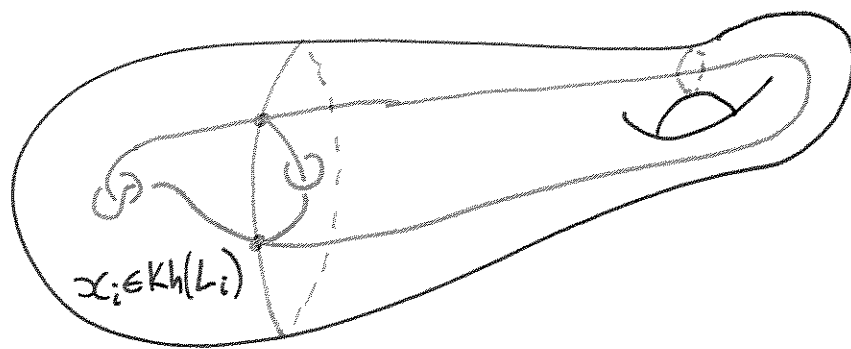
In particular, the following isotopy in \mathbb{R}^3



must induce the identity on Khovanov homology, because
it is isotopic to the identity in S^3 .

We can only prove this with $\mathbb{Z}/2\mathbb{Z}$ coefficients
at this point.

Thus $\text{Kh}(W^4; L)$ is spanned by diagrams β, γ, α (6)

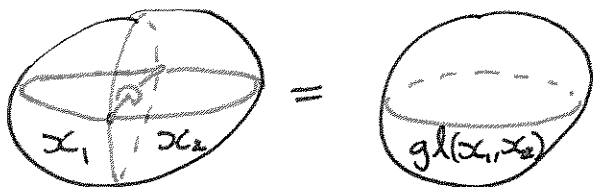


β a decomposition of W into balls

T a tangle on each interface between balls

α an element of the Khovanov homology of the link on each boundary sphere.

The relations are given by identifying subdivisions;



Computations

There is a gluing formula, as for all TOPP invariants

$$Kh(W_1 \cup_M W_2) = Kh(W_1) \otimes_{Kh(M)} Kh(W_2)$$

but this requires understanding the categories

$$Kh(M)(\sim) = Kh(M \times \sim).$$

This is feasible for $M = (B^3, \circ \circ)$, but seems too hard otherwise.

What about the exact triangle that made Kh computable in the first place?

Given a link L_X in ∂W and the resolutions L_{\pm}
 L_{\pm} and L_{\mp} of one crossing, there is an
exact triangle of ~~functors~~ functors $D(W) \rightarrow \text{Vect}$.

$$\dots \rightarrow (Kh, L_X) \rightarrow (Kh, L_{\pm}) \rightarrow (Kh, L_{\mp}) \rightarrow \dots$$

but this does not descend to the 4-manifold invariants
simply because colimits are not exact.

We propose replacing colimits with homotopy colimits.

This prescription realizes any extended TQFT invariant
as the 0-th homology of a chain complex,
the "bbb complex".

A k -chain of the blob complex consists of

- k balls ("blobs") in W , pairwise nested or disjoint
- a compatible decomposition of W into balls
- a labelling of the balls by n -morphisms from \mathcal{C} .

The differential is a sum over

- ways to forget a blob, and
- ways to forget an innermost blob, gluing up its contents.

$$d \left(\text{diagram} \right) = \text{diagram}_1 + \text{diagram}_2 + \text{diagram}_3$$

It is easy to see H_0 recovers the original colimit.

Now, exact sequences of boundary conditions give (14)
 a double complex

$$\begin{array}{ccccccc}
 & & \downarrow & & \downarrow & & \downarrow \\
 \dots & \rightarrow & B_1 \text{Kh}_0(\smile) & \longrightarrow & B_1 \text{Kh}_0(\sphericalangle) & \longrightarrow & B_1 \text{Kh}_0(\times) \rightarrow \dots \\
 & & \downarrow & & \downarrow & & \downarrow \\
 \dots & \rightarrow & B_0 \text{Kh}_0(\smile) & \longrightarrow & B_0 \text{Kh}_0(\sphericalangle) & \longrightarrow & B_0 \text{Kh}_0(\times) \rightarrow \dots
 \end{array}$$

Taking homology horizontally gives zero,

taking homology vertically gives the blob homologies,

so we have a spectral sequence starting at the blob homologies and converging to zero.