

Subfactors as quantum symmetries.

- A factor is a von Neumann algebra with trivial centre.

(Commutative vN algebras are measure spaces;

this is the opposite extreme.

Every vN algebra is a direct integral of factors.)

- There is a classification:

type I_n : the non matrix algebras $M_n(\mathbb{C})$

type I_∞ : $B(H)$

type II_1 : There is a trace, with traces of projections taking all values in $[0,1]$
(no minimal projections, projections are finite)

type II_∞ ...

type III ...

We'll be interested in the II_1 world, and in particular in the (unique) hyperfinite II_1 factor R :

$$R = \lim_{n \rightarrow \infty} M_n(\mathbb{C})^{\otimes n}$$

(also: vNG) A countable, amenable
or group measure space construction
for free ergodic actions of
countable amenable groups)

A subfactor is merely an inclusion of factors $A \subset B$.

What are subfactors for?

⇒ Subfactors describe 'quantum symmetries'.

First, how do they describe classical symmetries?

Jones (1980) showed that a finite group G has a unique (up to conjugacy) action by outer automorphisms on R .

Consider $R \subset R \rtimes G$. (exercise: the semidirect product is a II_1 factor)

Can we recover G ?

Our strategy will be to analyze the bimodules on which these factors act.

① What does $R \rtimes G$ look like as an R - R bimodule?

It is easy to see that it breaks up into $|G|$ simple bimodules.

Each looks just like ${}_R R_R$, but with one of the R actions twisted by the group element.

We can compute the tensor product

$$R^g \underset{R}{\otimes} R^h \xrightarrow{\sim} R^{gh}$$

② What about $(R \rtimes G) \underset{R}{\otimes} (R \rtimes G)$, as an $R \rtimes G$ - $R \rtimes G$ bimodule?

Essentially, R gets out of the way, and we analyze ${}^G(({}^G \rtimes {}^G)_G)$, and find a simple bimodule for each irrep of G :

$$(R \rtimes G) \underset{R}{\otimes} (R \rtimes G) \cong \bigoplus_{\text{irreps } \pi} \dim \pi \cdot S^\pi$$

Given a subgroup $H \subset G$, we can look at

$$A = R \rtimes H$$

\cap

$$B = R \rtimes G.$$

The B - B bimodules give $\text{Rep}G$ (with its \otimes -structure)

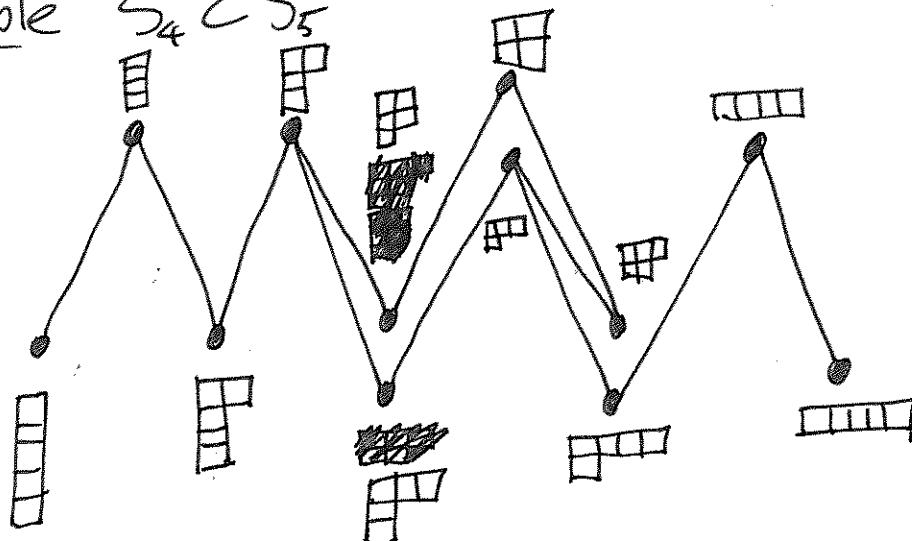
The A - B bimodules give $\text{Rep}H$

We can draw the "principal graph" with these vertices,
and an edge between ${}_A X_B$ and ${}_B Y_A$ for each
copy of Y inside $B \otimes_A X$.

For the subgroup case, we get the induction/restriction graph:

Example $S_4 \subset S_5$

A - B :



You can think of these subfactors as encoding
a transitive group action $G \curvearrowright X$
(with $H = \text{stab } x$).

Planar algebras (paragroups, λ -lattices)

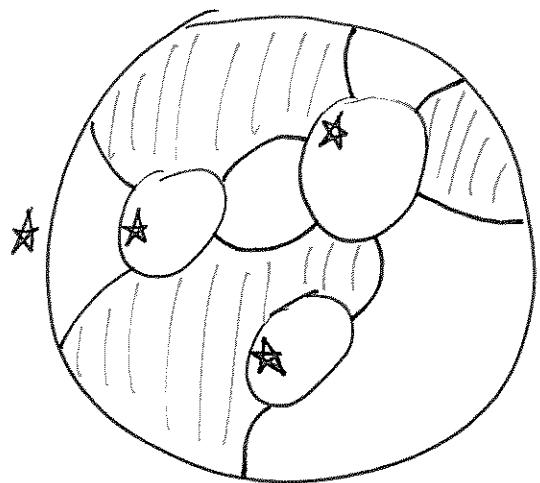
Let's formalize this process of 'extracting the symmetries'.

Given a subfactor $A \subset B$, define vector spaces

$$P_{n,+} = \text{Hom}_{A-A}(A \rightarrow \underbrace{B \otimes_A \cdots \otimes_A B}_{\text{n tensor factors}})$$

and $P_{n,-} = \text{Hom}_{B-B}(B \rightarrow \underbrace{B \otimes_A \cdots \otimes_A B}_{\text{n+1 tensor factors}})$

Theorem ^(Jones) Those vector spaces form a planar algebra, i.e. there is an action of shaded planar tangles:



$$: P_{2,+} \otimes P_{3,-} \otimes P_{1,+} \rightarrow P_{3,+}$$

Proof: this just encodes all the natural operations on intertwiners between bimodules.

e.g. $P_{2n,+} \cong \text{End}_{A-A}(B^{\otimes n})$ and  is multiplication.

 is trace

...

Theorem (Popa)

From a spherical, unitary shaded planar algebra, we can reconstruct a subfactor.

The planar algebra is a complete invariant of amenable subfactors of \mathbb{R} .

The Temperley-Lieb planar algebra

$$TL_{n,+} = \{\text{crossingless matchings on } 2n \text{ points}\}$$

e.g. $TL_{3,+} = \{\textcircled{1}, \textcircled{4}, \textcircled{2}, \textcircled{5}, \textcircled{3}\}$

sits inside every planar algebra.

The index $[B:A]$ is (equivalently)

(1) if $\langle \textcircled{1}, \textcircled{1} \rangle := S^2$ in $P_{0,+}$, S^2

(2) $\|\Gamma\|^2$ (the square of the largest eigenvalue of the principal graph's adjacency matrix)

(3) the Murray-von Neumann dimension of B as an A -module.

Clearly $[R \rtimes G : R \rtimes H] = [G : H]$.

Theorem (Jones)

$$[B:A] \in \left\{ 4 \cos^2 \frac{\pi}{n} \right\}_{n \geq 3} \cup [4, \infty)$$

Sketch proof Otherwise, the TL subalgebra can't be unitary.

There's a beautiful ADE classification (Ocneanu, Izumi, Kawahigashi)
for $[B:A] < 4$.

For the A series, there's nothing but TL, and in fact it's a quotient.

e.g. $A_1 = TL / \langle \sum_{n=1}^{\infty} \rangle$ (every vector space in the planar algebra is 1d)

$$A_2 = TL / \langle \left(-\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right) + \frac{\sqrt{2}}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + \begin{pmatrix} 1 \\ -1 \end{pmatrix} \rangle$$

:

For D and E, we have to add new generators.

There's an "affine ADE" classification at index 4. (Popa)

We've been trying to understand what else is out there.

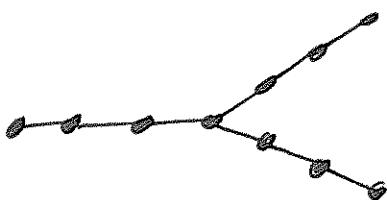
- * Examples from finite group data,
all at integer index, not super exciting
- * Examples coming from quantum groups at roots of unity
(well understood, includes A series below index 4)
- * "quantum subgroups" of the above (e.g. DE cases below 4)
- * There are also sporadic examples, which have only been discovered through classification projects.

~~- Bagel - Haagerup - Asaeda~~

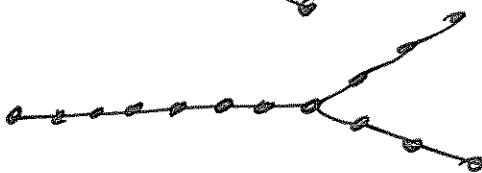
Theorem (M-Snyder-Peters-Penneys-Tener-Jones-Izumi-Calegari-Ostrik)

There are 10 subfactors (of R) with index between 4 and 5,
besides TL (at every index), coming in 5 pairs:

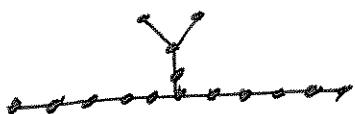
"Haagerup"



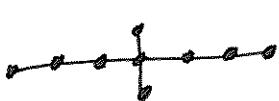
"Extended Haagerup"



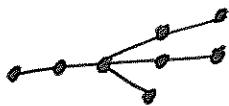
"Asaeda-Haagerup"



"3311"



"2221"



How do we prove such a theorem?

- 1) Graph combinatorics and geometric number theory,
to constrain the principal graphs
- 2) Representation theory and "shein theory" (=2d topology)
to constrain the possible planar algebras
- 3) "Applied algebraic geometry" to produce
candidate planar algebras as subalgebras
of 'standard' ones
- 4) More shein theory to prove the candidate is
what you'd hoped.