

Functoriality in S^3

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<http://tqft.net/faro>

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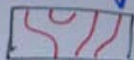
A parable

Let's begin with a parable, back in the world of the **Temperley-Lieb category**.

This is just

{finite sets of
points on a line}

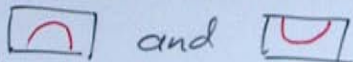
TL-diagrams



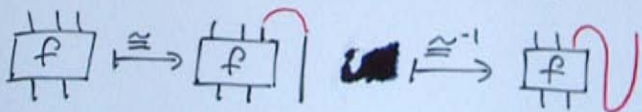
mod $\bigcirc = e + e^{-1}$
and isotopy.

You can go look up the axioms for a category with duality (or a 'rigid' category, or a 'pivotal' category or...) and notice that **TL** has duals:

- 'evaluation' and 'coevaluation' maps



- providing isomorphisms $\text{Hom}(a, b) \cong \text{Hom}(a+1, b-1)$



But I'd prefer you to think of it as a **planar algebra**.

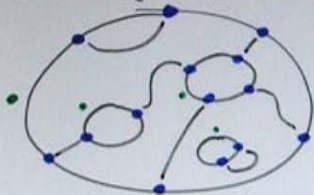
Temperley-Lieb as a planar algebra

- For each circle with marked points there's the module of TL diagrams filling it.

E.g:

$$\mathcal{P}(\text{circle with 4 points}) = \mathbb{C}[q, q^{-1}] \{ \text{TL diagrams filling the circle} \}$$

- For every 'spaghetti and meatballs' diagram



there's a map

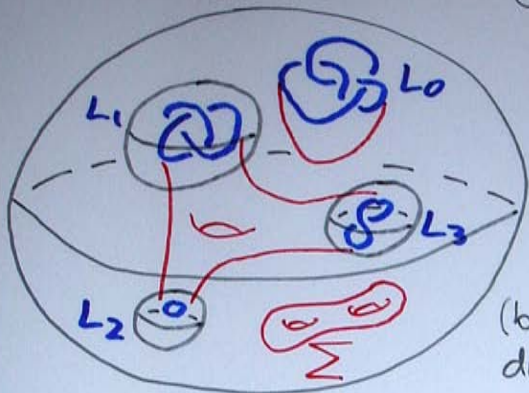
$$\mathcal{P}(\text{circle with 2 points}) \otimes \mathcal{P}(\text{circle with 2 points}) \otimes \mathcal{P}(\text{circle with 2 points}) \rightarrow \mathcal{P}(\text{circle with 6 points})$$

Sadly this isn't written down anywhere, but a planar algebra is exactly equivalent to a (strict) pivotal category.

Prejudice: planar algebras are nicer than pivotal categories.

A 4-d analogue for Khovanov homology

- Khovanov homology associates a bigraded vector space to each link in S^3 .
(no up-to-isotopy, no up-to-isomorphism)
- To each '4-ball with lasagna':



(be careful - one dimension suppressed)

a linear map $\bigotimes_i Kh(L_i) \rightarrow Kh(L_0)$

depending on the surface Σ only up to isotopy in $B_0^4 / \cup B_i^4$.

The Khovanov 4-category.

Let's define

$$\text{Objects}(\mathbf{2}\text{-Tangles}) = \left\{ \begin{array}{l} \text{tangles (no isotopy) in } B^3 \\ \text{w/ bdy pts on the equatorial } S^1 \end{array} \right\}$$

$$\text{Morphisms}(\mathbf{2}\text{-Tangles}) = \left\{ \begin{array}{l} \text{cobordisms in } B^3 \times I \\ \text{mod isotopy} \end{array} \right\}$$

and another category \mathbf{K} with
the same objects, and

$$\text{Hom}_{\mathbf{K}}(T_1, T_2) = \left\{ \begin{array}{l} \text{chain maps } \text{Kh}(T_1) \rightarrow \text{Kh}(T_2) \\ \text{up to homotopy} \end{array} \right\}$$

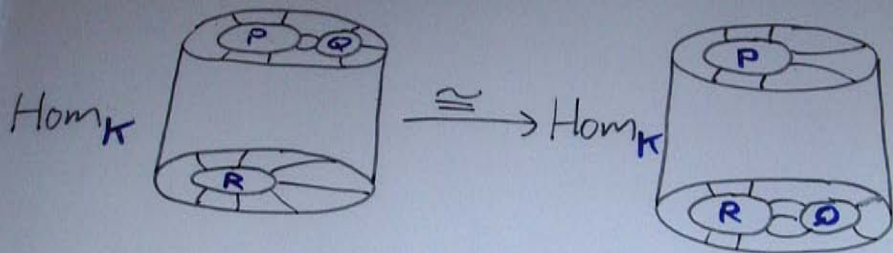
There's a functor $\mathbf{K} \rightarrow \mathbf{2}\text{-Tangles}$;

that's just "functoriality" of Khovanov homology

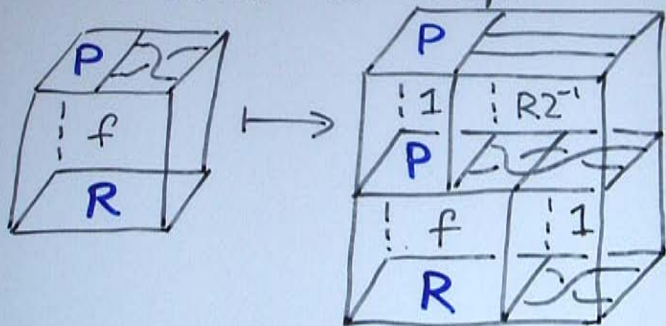
Composing tangles horizontally gives
each of $\mathbf{2}\text{-Tangles}$ and \mathbf{K} the structure
of a 3-category, or, better,
a **canopolis**.

More duals!

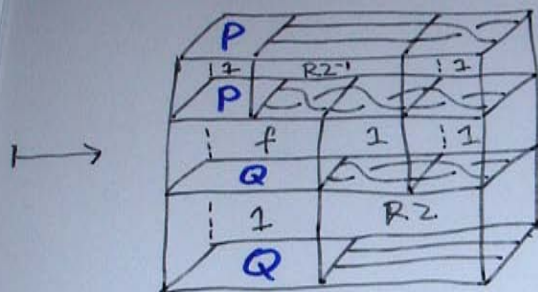
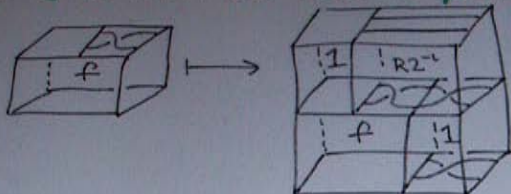
Now I want isomorphisms between different Hom spaces, allowing us to move subtangles between source and target.



When Q is a single crossing, we use a Reidemeister 2 map:



Why is that an isomorphism?



This is just f , by MM9:

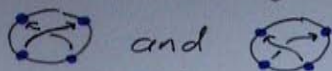
$$\left(X \rightarrow \left(\begin{array}{c} \circ \\ \circ \end{array} \right) \rightarrow \left(\begin{array}{c} \circ \\ \circ \end{array} \right) \right) = 1$$

(being more careful, we use some 2-category axioms first.)

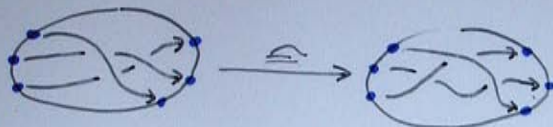
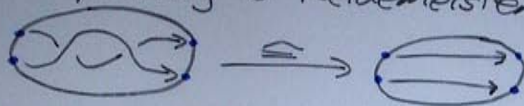
$$\begin{array}{|c|c|} \hline & R2^{-1} \\ \hline f & \\ \hline & R2 \\ \hline \end{array} = \begin{array}{|c|c|} \hline & R2^{-1} \\ \hline & R2 \\ \hline f & \\ \hline \end{array} = \begin{array}{|c|c|} \hline & R2^{-1} \\ \hline & R2 \\ \hline f & \\ \hline \end{array} \stackrel{\text{MM9}}{=} \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline f & \\ \hline \end{array} = \boxed{f}$$

Even better, \mathcal{K} is a **braided canopolis**

(Tautologically) we have objects called



and a collection of isomorphisms corresponding to Reidemeister moves



etc.

While these isomorphisms aren't identities, they satisfy nice coherence axioms coming from Carter & Saito's movie moves.

Eg MM6

$$\left(\text{---|---} \rightarrow \text{---} \text{---} \rightarrow \text{---} \text{---} \rightarrow \text{---} \text{---} \rightarrow \text{---|---} \right) = 1$$

and MM9

$$\left(\text{---} \rightarrow \text{---} \rightarrow \text{---} \right) = 1$$

Coherence

We now have many isomorphisms of Hom-spaces

- moving a subtangle between source & target
- pre- (or post-) composing with an isotopy of the source (or target) tangle.

How do these all fit together?

Think of each such isomorphism as induced by its 'wake', a surface in $S^3 \times I$.

- draw the initial source & target tangles on the hemispheres of the inner S^3 , and the final tangles on the outer S^3 .
- in the bulk, draw the cylinder which isotopes the subtangle past the equator.

Theorem (Morrison, Walker)

If two sequences of such isomorphisms have 'wakes' which are isotopic in $S^3 \times I$ then the compositions are equal, as isomorphisms of Hom-spaces.

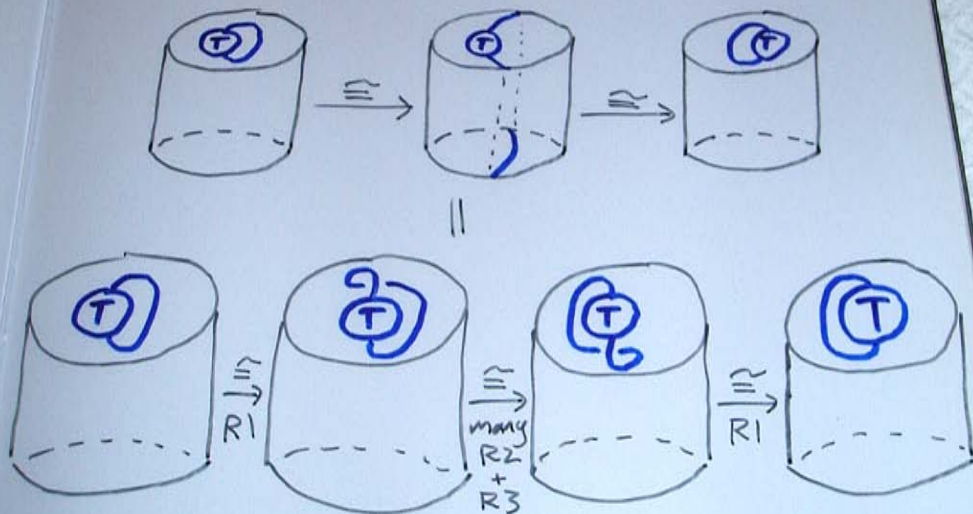
Proof

Most of the time, the wake avoids the 'axis of the southern hemisphere':

$$S^3 = \text{---} \cup \text{---}$$

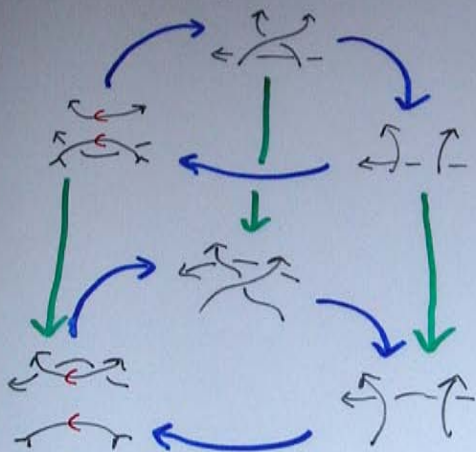
When it does, the result is just functoriality in B^3 (and some 3-category nonsense).

When it passes through the axis, we need to check:



Why does this work?

We have an extension of Kh to 'disoriented tangles', in which the exact triangle is functorial:



The square faces commute, not just up to sign.

Now the result follows easily, by induction of the number of crossings, and the five lemma.

