

topological matter

Slides online at <https://tqft.net/talks>

(anyonic)  
topological matter

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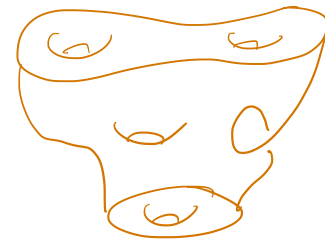
## topological quantum field theories



$n$ -manifolds



vector spaces



$n+1$  dimensional  
cobordisms



linear maps

(anyonic)  
topological matter

(extended) topological quantum field theories

... lower dimensional  
manifolds



more complicated  
algebraic data

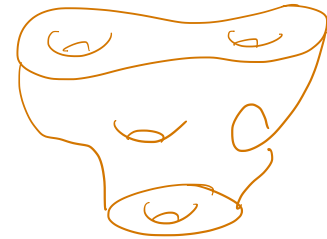
...



n-manifolds



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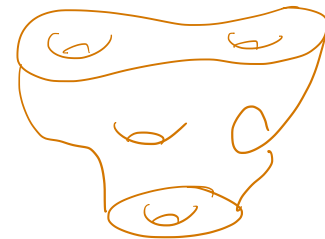
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n-manifolds



vector spaces



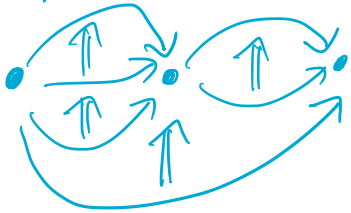
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"spacetime lego"



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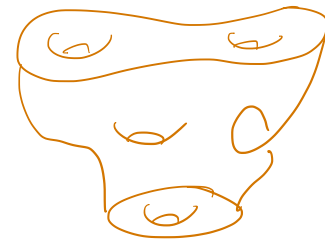
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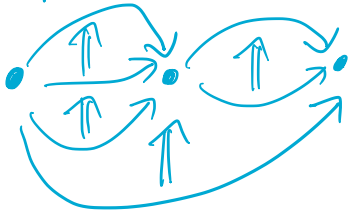
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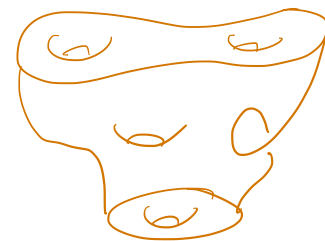
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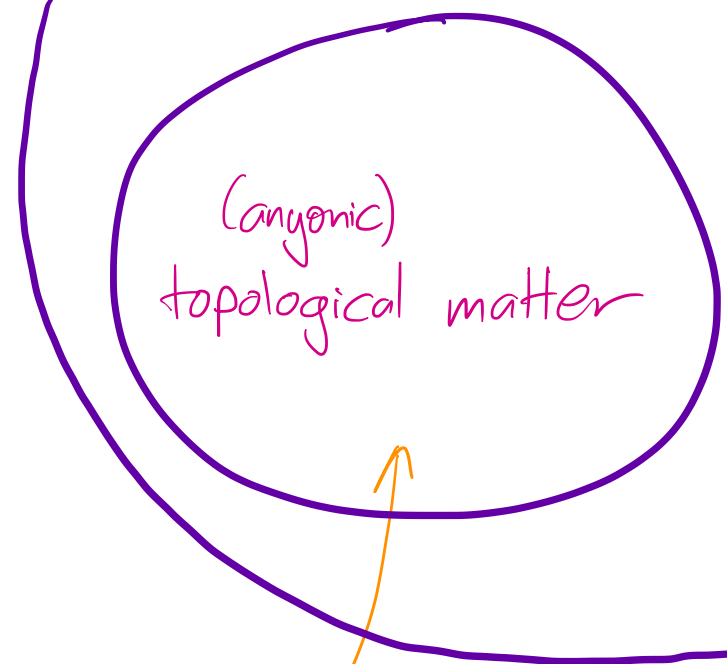
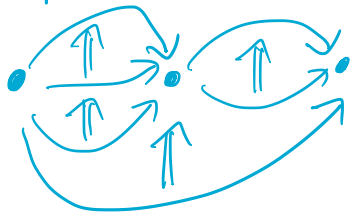
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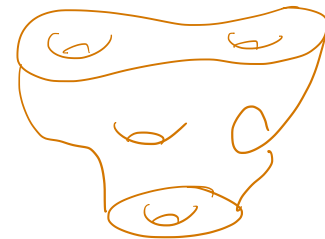
skein theory  
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the value  
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point

## (extended) topological quantum field theories

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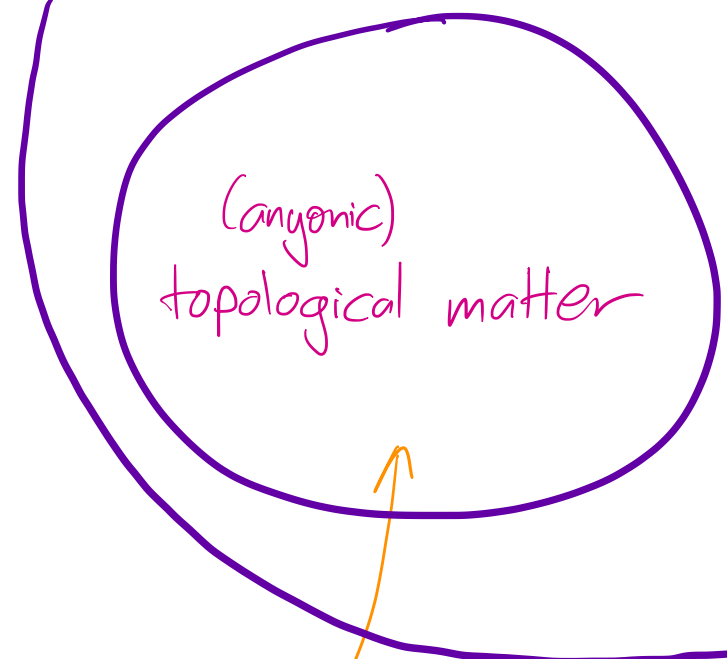
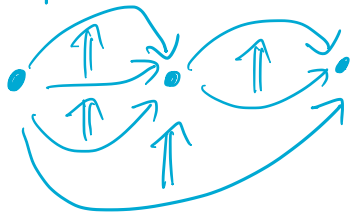
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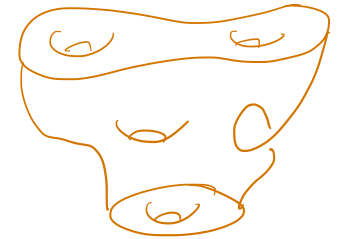
the value  
at a  
point

the  
cobordism  
hypothesis

(extended)

## topological quantum field theories

... lower dimensional  
manifolds



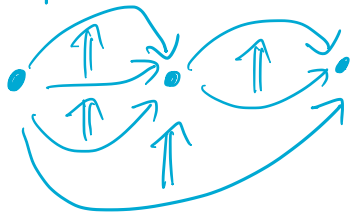
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quantum symmetries

(anyonic) topological matter

Levin-Wen type constructions

approximate mathematical description?

skew theory and state sums

the cobordism hypothesis

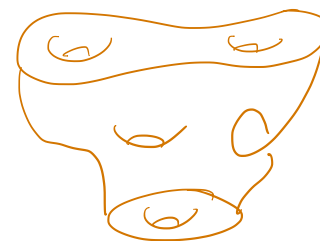
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## (extended) topological quantum field theories

... lower dimensional manifolds



n-manifolds



n+1 dimensional cobordisms

more complicated algebraic data

vector spaces

linear maps

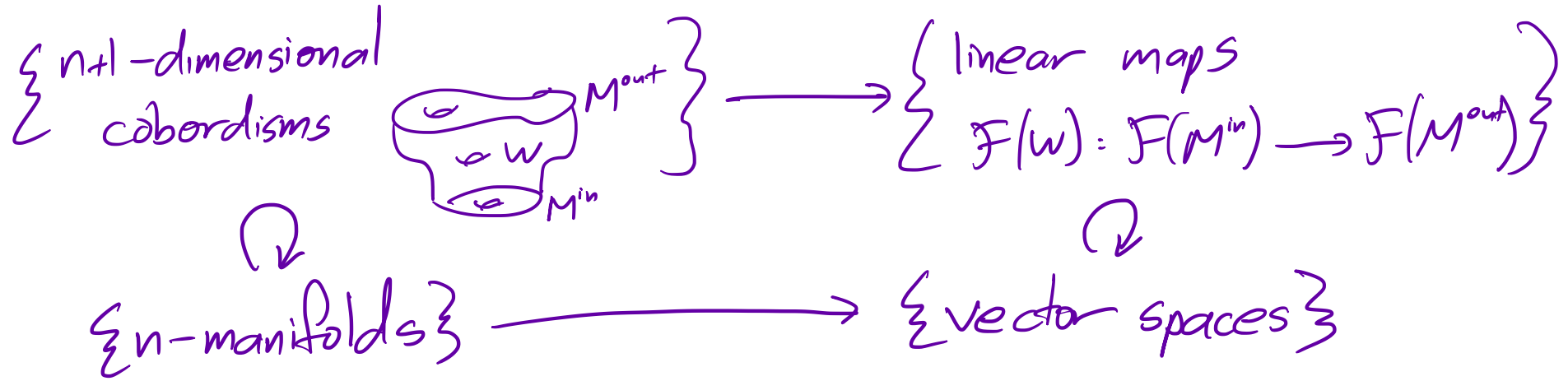
A Topological Quantum Field Theory  
is a functor  $F$

$\{n\text{-manifolds}\} \longrightarrow \{\text{vector spaces}\}$

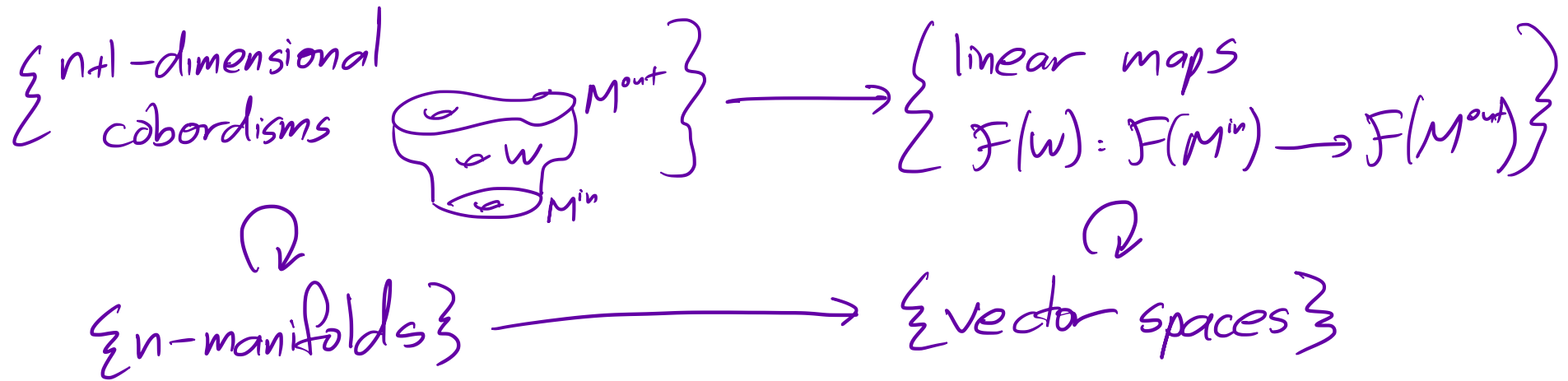
$n=1:$  

$n=2:$  

A Topological Quantum Field Theory  
is a functor  $F$



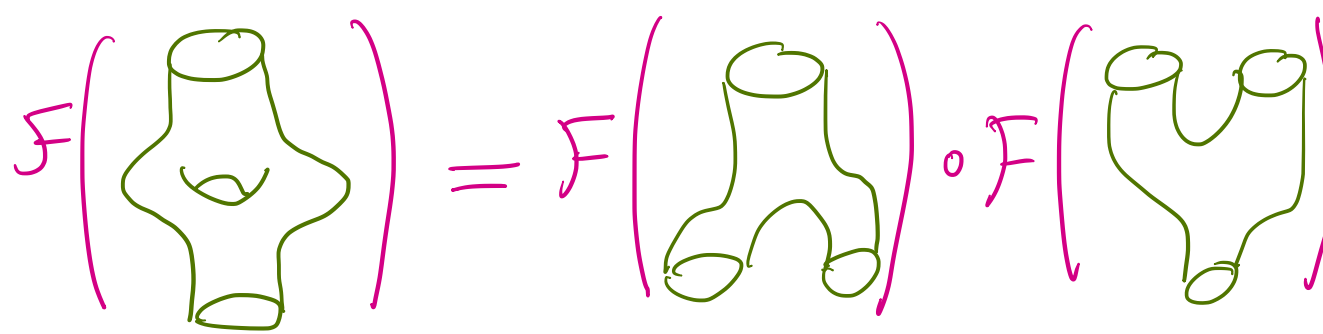
A Topological Quantum Field Theory  
is a functor  $F$



What does it mean to say that this is a functor?

If we stack two cobordisms on top of each other,

we should get the composite of the two linear maps:

$$\mathcal{F} \left( \text{stacked cobordism} \right) = \mathcal{F} \left( \text{top cobordism} \right) \circ \mathcal{F} \left( \text{bottom cobordism} \right) \quad \left| \quad \mathcal{F} \left( \text{stacked cobordism} \right) = \mathcal{F} \left( \text{top cobordism} \right) \circ \mathcal{F} \left( \text{bottom cobordism} \right) \right.$$


A Topological Quantum Field Theory

is a functor  $F$

"symmetric monoidal"

$\{ n+1\text{-dimensional}$   
 $\text{cobordisms}$



$\} \longrightarrow \{ \text{linear maps} \}$   
 $\{ F(W) = F(M^{\text{in}}) \longrightarrow F(M^{\text{out}}) \}$



$\{ n\text{-manifolds} \}$



$\{ \text{vector spaces} \}$



disjoint unions of  $n$ -manifolds should  
be sent to tensor products  
of vector spaces!

$$F(\bigcirc \bigcirc \bigcirc) = F(\bigcirc) \otimes F(\bigcirc) \otimes F(\bigcirc).$$

$$F(\emptyset) : F(\bigcirc) \longrightarrow F(\emptyset) = \mathbb{C}$$

# A Topological Quantum Field Theory

is a functor  $F$

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 $\{ F(W) = F(M^{in}) \rightarrow F(M^{out}) \}$



$\{ n\text{-manifolds} \}$



$\{ \text{vector spaces} \}$



This is a mathematician's birds-eye view of physics:

- for each "shape of the universe", a Hilbert space describing the possible state of the universe
- for each "time evolution", a map describing how states transform.

The theory is topological & two cobordisms which are diffeomorphic (rel  $\partial$ ) give the same linear map.

As a consequence, two diffeomorphic manifolds have isomorphic vector spaces.

$$F(\text{O}) \cong F(\text{O})$$



The theory is topological & two cobordisms which are diffeomorphic (rel  $\partial$ ) give the same linear map.

As a consequence, two diffeomorphic manifolds have isomorphic vector spaces.

Have we thrown the baby out with the bathwater?

Our "physics" can't see any geometry or any matter.

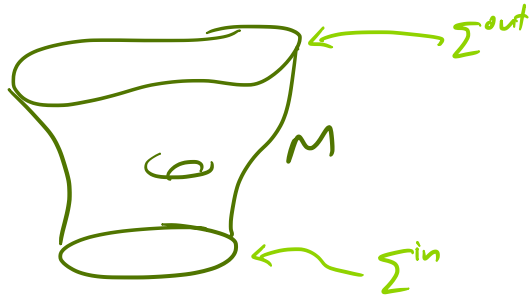
① Initially, TQFTs were intended as toy models of QFT (to get practice!) and to explain the Jones polynomial in knot theory.

② Later, with much prodding from the physicists, we realised they were actually real physics.

# Example

A 2+1-dimensional TQFT  $\mathcal{F}$  assigns

- a vector space  $\mathcal{F}(\Sigma)$  to each surface  $\Sigma$ , and
- a linear map  $\mathcal{F}(M): \mathcal{F}(\Sigma^{\text{in}}) \rightarrow \mathcal{F}(\Sigma^{\text{out}})$  to each 3-d cobordism




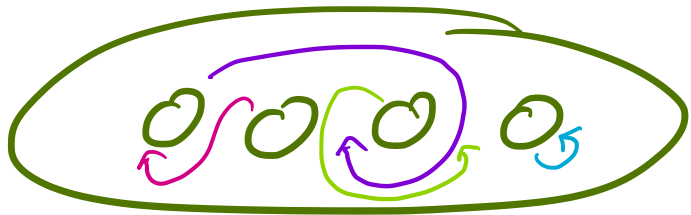
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In particular, a vector space for each punctured disc .  
Given any way of braiding the punctures around each other



# Example

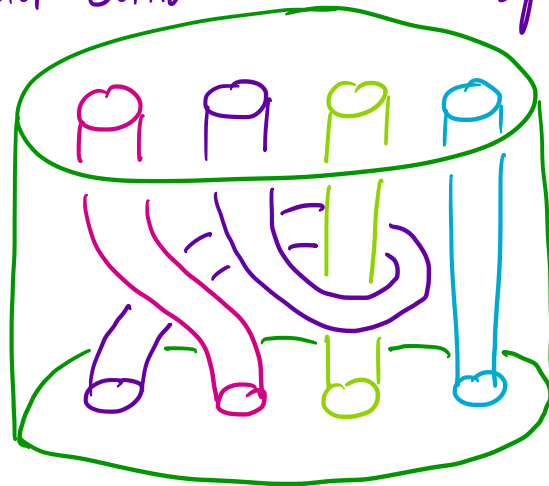
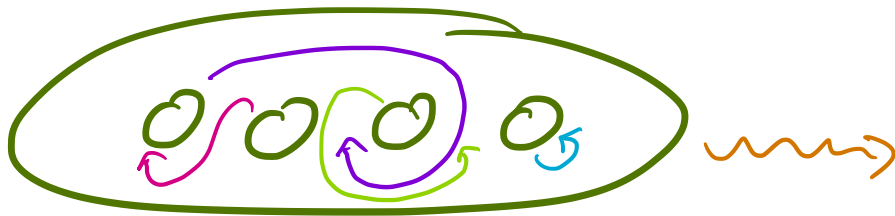
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In particular, a vector space for each punctured disc .

Given any way of braiding the punctures around each other we can build a corresponding cobordism



This gives a representation of the braid group on the vector space associated to a punctured disc.

For many interesting  $2+1$ -dimensional TQFTs, the image of the braid group is dense in the unitary group of this vector space.

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For many interesting  $2+1$ -dimensional TQFTs, the image of the braid group is dense in the unitary group of this vector space.

The initial plans for anyonic quantum computation used this fact:

- have the computer scientists express their quantum algorithm as a unitary operator
- "compile" their program for this hardware, by approximating that unitary by a braid group element
- for each run of the algorithm, prepare the initial state in  $\mathcal{F}(\textcircled{0000})$  according to the input.
- physically(?) braid the punctures around each other
- perform a measurement to read off the answer.

As a prelude to the classification of TQFTs, let's begin by studying  
(0+1)-dimensional TQFTs.

Every (unoriented) 0-manifold is a disjoint union of points.

We require  $F(\underbrace{\dots}_{n\text{-points}}) \cong F(\cdot)^{\otimes n}$ ,

so we just need to pick a vector space  $F(\cdot)$ .

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so we just need to pick a vector space  $F(\cdot)$ .

Will any vector space do? We need to look at 1-manifolds!

We need maps  $F(\cap) : F(\cdot) \otimes F(\cdot) \rightarrow F(\emptyset) \leftarrow \text{just } \mathbb{C}$

and  $F(\cup) : \mathbb{C} \rightarrow F(\cdot) \otimes F(\cdot)$ .

Moreover since  $\int \stackrel{\text{diff}}{=} \int$



we must have  $\text{id}_{F(\cdot)} = (\text{id}_{F(\cdot)} \otimes F(\cap)) \circ (F(\cup) \otimes \text{id}_{F(\cdot)})$ .

and similarly for  $\int \stackrel{\text{diff}}{=} \int$ .



Say  $F(v) : 1 \mapsto \sum_{ij} f_{ij} e_i \otimes e_j$  (with  $\{e_i\}$  a basis)

and  $F(n) : e_i \otimes e_j \mapsto g_{ji}$ .

$F(n) : F(\cdot) \otimes F(\cdot) \rightarrow \mathbb{C}$
$F(v) : \mathbb{C} \rightarrow F(\cdot) \otimes F(\cdot)$
$\downarrow \stackrel{\text{diff}}{=} \eta$

Notice since  $\wedge \stackrel{\text{diff}}{=} \mathcal{R}$  and  $\vee \stackrel{\text{diff}}{=} \mathcal{S}$ , both  $f$  and  $g$  are symmetric.

Then  $F(\eta) : e_k \mapsto \sum_{ij} f_{ij} e_i \otimes e_j \otimes e_k \mapsto \sum_{ij} f_{ij} g_{jk} e_i \stackrel{\text{we want}}{=} e_k$

This shows  $(g_{jk})$  is the inverse of  $(f_{ij})$ .

Say  $F(\nu): 1 \longrightarrow \sum_{ij} f_{ij} e_i \otimes e_j$  (with  $\{e_i\}$  a basis)

and  $F(\eta): e_i \otimes e_j \longmapsto g_{ij}$ .

$$\begin{aligned} F(\eta) &: F(\cdot) \otimes F(\cdot) \longrightarrow \mathbb{C} \\ F(\nu) &: \mathbb{C} \longrightarrow F(\cdot) \otimes F(\cdot) \\ & \quad \downarrow \text{diff} \\ & \quad \mathcal{H} \end{aligned}$$

Notice since  $\eta \stackrel{\text{diff}}{=} \mathcal{R}$  and  $\nu \stackrel{\text{diff}}{=} \mathcal{Y}$ , both  $f$  and  $g$  are symmetric.

Then  $F(\mathcal{H}): e_k \longmapsto \sum_{ij} f_{ij} e_i \otimes e_j \otimes e_k \longmapsto \sum_{ij} f_{ij} g_{ijk} e_i$ .

This shows  $(g_{ijk})$  is the inverse of  $(f_{ij})$ .

Moreover,  $F(\underline{\mathcal{O}}) = F(\eta) \circ F(\nu)$  is some map  $\mathbb{C} \xrightarrow{\overset{F(\mathcal{O})}{\parallel}} \mathbb{C}$ ,  
so must itself be (multiplication by) some complex number.

$$\begin{aligned} \text{Now } F(\eta) \circ F(\nu): 1 &\longmapsto \sum_{ij} f_{ij} e_i \otimes e_j \longmapsto \sum_{ij} f_{ij} g_{ij} = \sum_{ij} f_{ij} g_{ji} = \sum_i \delta_{ii} \\ &= \dim F(\cdot). \end{aligned}$$

Thus we see the vector space is finite dimensional.

This is a very general phenomenon:

to construct a TQFT, we'll need to provide a bunch of algebraic ('higher categorical') data,

and in order to be able to construct the top-dimensional piece of the TQFT, this data will have to satisfy some very restrictive conditions, which are

"higher dimensional analogues of finite dimensionality"

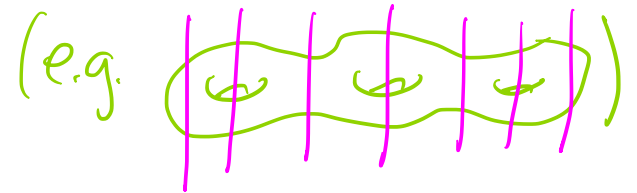
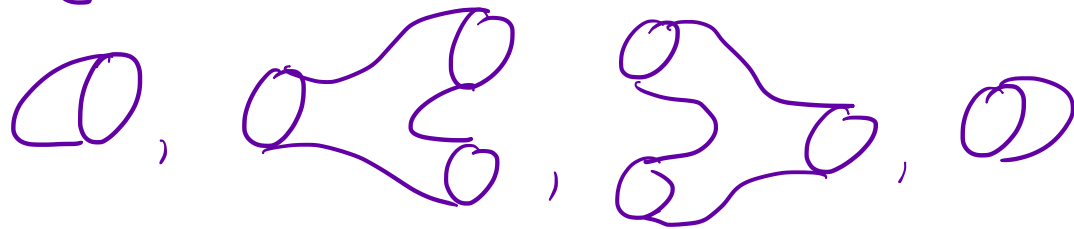
(e.g. semisimplicity, fusion, modularity...)

## Extended topological field theories.

Sometimes we would like to be able to cut our  $n$ -manifolds up into pieces, and use these to calculate the value of the TQFT.

A "local" physical theory should always allow this.

E.g. every 2-manifold can be built out of



It's more complicated in higher dimensions, but we always have handle decompositions.

To do this, we're going to have to assign more algebraic data to  $(n-1)$ -manifolds, and then to  $(n-2)$ -manifolds, all the way down to  $0$ -manifolds.

Example A 2D-dimensional TQFT  $\mathcal{F}$  should associate

- a linear category  $\mathcal{F}(\bullet)$  to a point
- a vector space  $\mathcal{F}(O)$  to each 1-manifold  
(up to disjoint unions, the circle is the only closed 1-manifold)
- linear maps to 2-dimensional cobordisms.

additionally satisfying the property that

$$\mathcal{F}(O) = \mathcal{F}(\bullet) / ab=ba.$$

## Example

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$$\mathcal{F}(O) = \mathcal{F}(\bullet) / ab=ba.$$

In fact, one can do this if and only if  $\mathcal{F}(\bullet)$  is a semisimple

$$\text{algebra } \mathcal{F}(\bullet) = M_{n_1 \times n_1}(\mathbb{C}) \oplus M_{n_2 \times n_2}(\mathbb{C}) \oplus \dots \oplus M_{n_k \times n_k}(\mathbb{C})$$

$$\text{and then } \mathcal{F}(O) = \mathbb{C}^k.$$

If we hadn't "extended down to a point",  $\mathcal{F}(O)$  could have been any commutative Frobenius algebra.

In general, for a fully extended  $(n+1)$ -dimensional TQFT,  
for a closed  $(n-j)$ -manifold we will associate a linear  $j$ -category,  
(Here a linear  $0$ -category is a vector space, and  
a linear  $(-1)$ -category is a number.)

and for an  $(n-j)$ -manifold  $W$  with "incoming boundary"  $\Sigma^{\text{in}}$ , and  
"outgoing boundary"  $\Sigma^{\text{out}}$ , (both of which are  $(n-j-1)$ -manifolds,  
with associated  $(j+1)$ -categories, we will associate

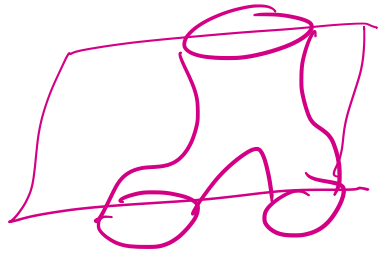
a linear  $j$ -category  $\mathcal{F}(W)$  equipped with "actions" of  $\mathcal{F}(\Sigma^{\text{in}})$  and  $\mathcal{F}(\Sigma^{\text{out}})$ .

When we can slice  $W$  into two pieces  $W_1, W_2$ , along some  
codimension  $1$  locus  $\Sigma$ , we have a gluing formula

$$\mathcal{F}(W) = \mathcal{F}(W_1) \underset{\mathcal{F}(\Sigma)}{\otimes} \mathcal{F}(W_2).$$



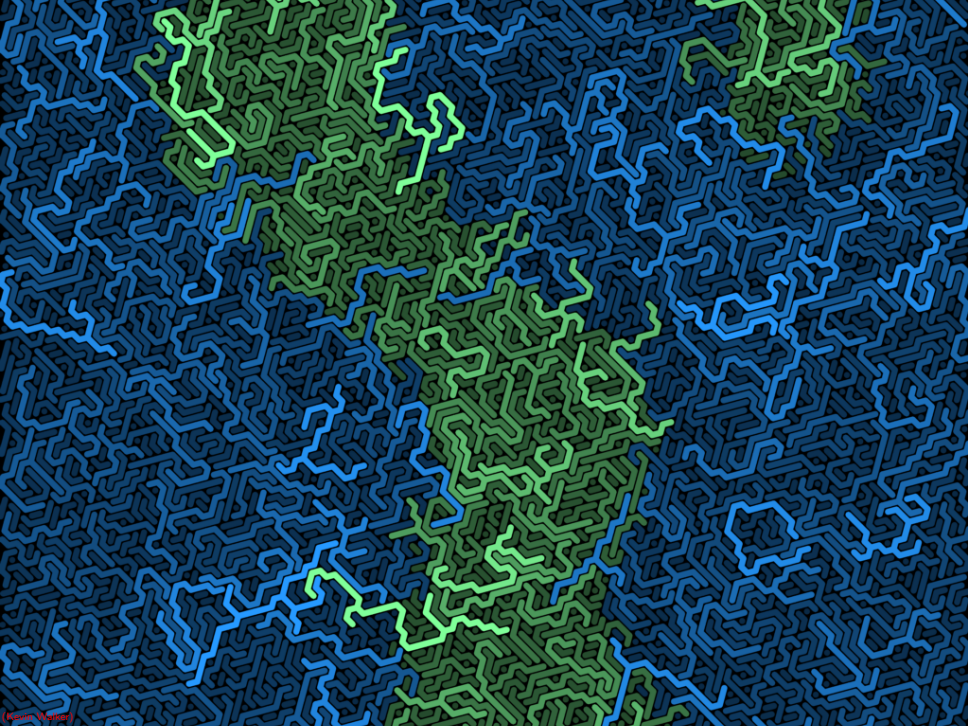
In 2+1 dimensions:



will be a vector space, with an action  
of  $\mathcal{F}(0)$ , and an action of  $\mathcal{F}(0,0)$

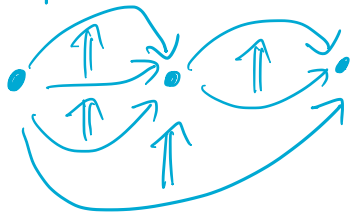


will be a ~~category~~<sup>→</sup> algebra



# higher categories

"spacetime lego"



quantum symmetries

(anyonic) topological matter

Levin-Wen type constructions

approximate mathematical description?

skew theory and state sums

the cobordism hypothesis

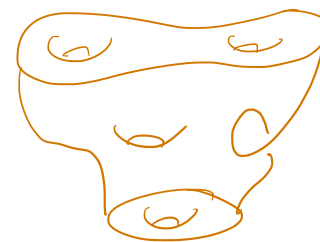
the value at a point

## (extended) topological quantum field theories

... lower dimensional manifolds



$n$ -manifolds



$(n+1)$  dimensional cobordisms

more complicated algebraic data

vector spaces

linear maps

A category  $\mathcal{C}$  consists of:

- a set  $\mathcal{C}^0$  of "objects", or "states", or "0-morphisms".

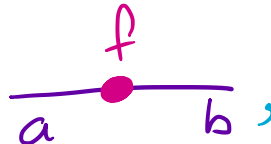
- for each pair of objects  $a, b \in \mathcal{C}^0$ ,

a set  $\mathcal{C}^1(a \rightarrow b)$  of "morphisms" (or "transitions", or "1-morphisms") from  $a$  to  $b$ .

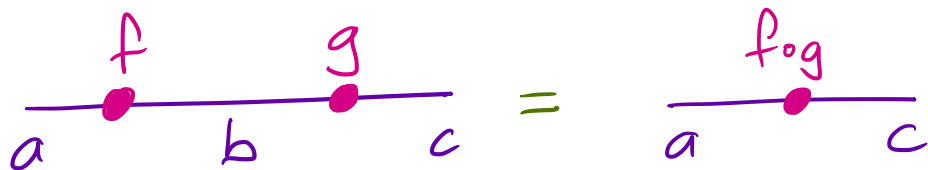
- a rule for composing morphisms

$$\mathcal{C}^1(a \rightarrow b) \times \mathcal{C}^1(b \rightarrow c) \longrightarrow \mathcal{C}^1(a \rightarrow c).$$

String diagrams:

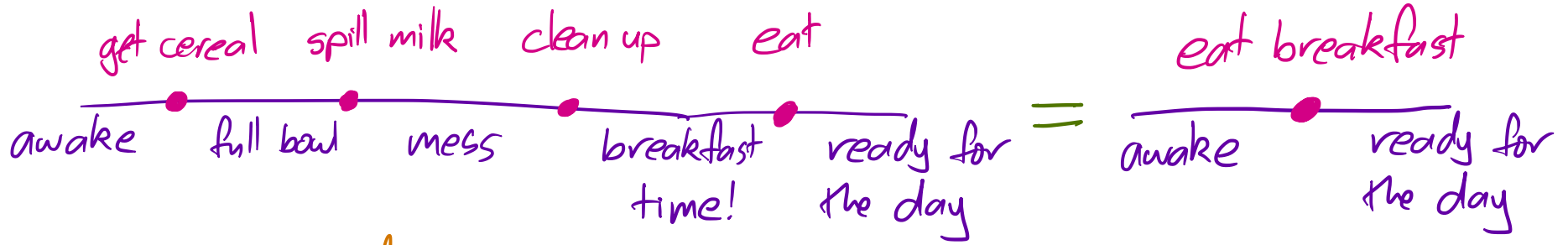
We draw a morphism  $f: \mathcal{C}^1(a \rightarrow b)$  as 

and compose morphisms by drawing a sequence of beads on a string:

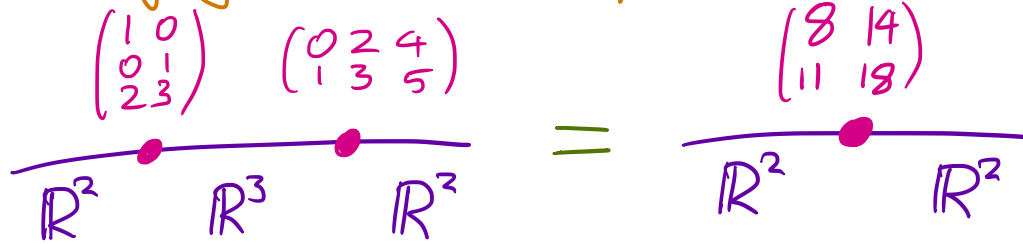

$$a \xrightarrow{f} b \xrightarrow{g} c = a \xrightarrow{fog} c$$

# Examples:

① "states and transitions"



② "the category of vector spaces"



(here  $\mathcal{C}^0 = \{\text{all vector spaces}\}$   
 $\mathcal{C}^1(V \rightarrow W)$  consists of  
all linear maps  $V \rightarrow W$ )

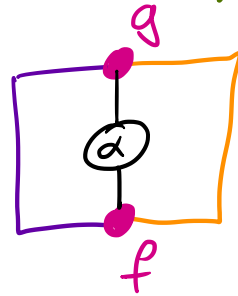
That's everything you need to know about 1-category theory!

A 2-category consists of:

- a set  $e^0$  of "0-morphisms"  $\{ \text{purple, orange, blue, ...} \}$
- for each  $a, b \in e^0$ , a set  $e^1(a \rightarrow b)$  of "1-morphisms"



- for each  $a, b \in e^0$ , and  $f, g \in e^1(a \rightarrow b)$ ,  
a set  $e^2(f \rightarrow g)$  of "2-morphisms"



together with rules for stacking these together  
like Lego bricks.

# Examples

① "vector spaces with  $\otimes$ -product"

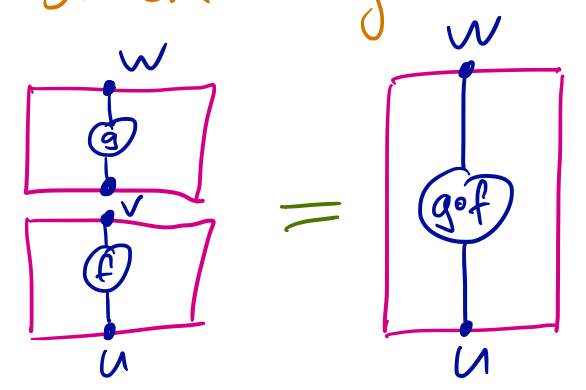
$$e^0 = \{ \bullet \} \quad \text{--- "turtle" ---}$$

$$e^1(\bullet \rightarrow \bullet) = \{ \text{all vector spaces} \}$$

with composition  $\overset{V}{\bullet} \overset{W}{\bullet} = \overset{V \otimes W}{\bullet}$

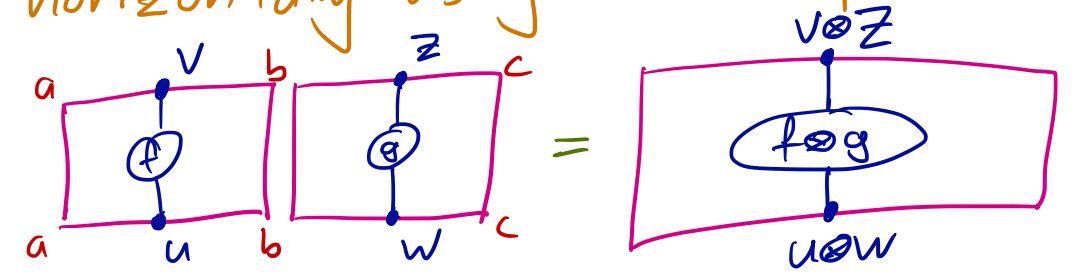
$$e^2(V \rightarrow W) = \{ \text{all linear maps from } V \text{ to } W \}$$

We stack lego blocks vertically using composition:



If  $\{e_i\}$  is a basis for  $U$   
 $\{f_j\} \xrightarrow{U} W$

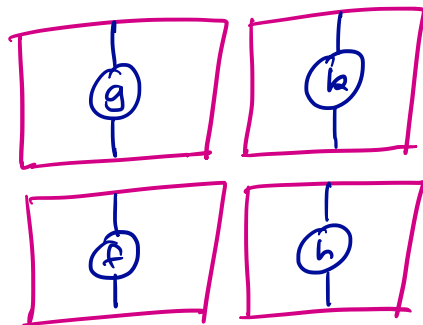
and horizontally using tensor product:



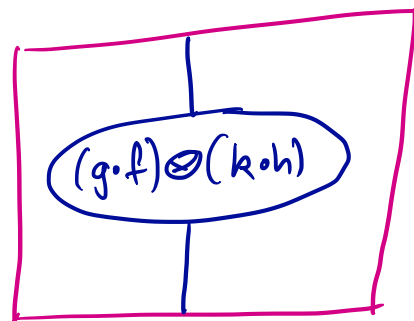
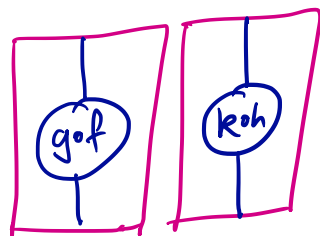
$$(f \otimes g)(e_i \otimes e_j) := f(e_i) \otimes g(e_j)$$

a basis vector for  $U \otimes W$

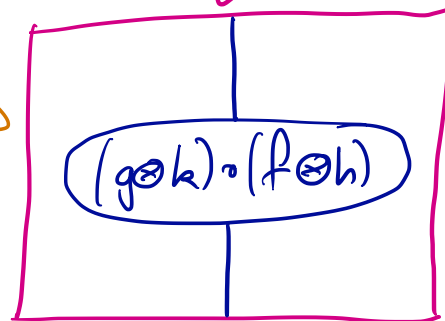
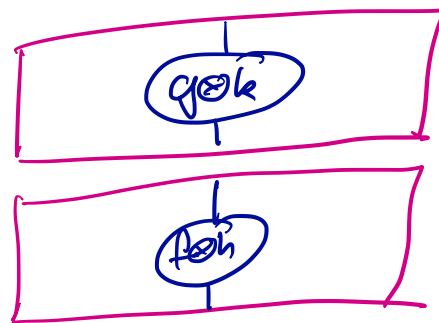
Notice that composition and tensor products of linear maps satisfy the "laws" dictated by Lego blocks:



compose vertically first



compose horizontally first



it's a mildly non-trivial fact these are the same!



② "The toric code"

$$e^0 = \{ \bullet \}$$

$$e^1 = \{ \text{---}, \text{---}\bullet, \text{---}\bullet\bullet, \dots \}$$

$$e^2 \left( \begin{array}{c} \text{---}\bullet\bullet\bullet \\ \underbrace{\hspace{2cm}} \\ n \text{ points} \end{array} \rightarrow \begin{array}{c} \text{---}\bullet \\ \underbrace{\hspace{1cm}} \\ m \text{ points} \end{array} \right) = \begin{cases} \mathbb{C} & \text{if } n+m \text{ is even} \\ 0 & \text{if } n+m \text{ is odd} \end{cases}$$

Both horizontal and vertical composition are just multiplication in  $\mathbb{C}$ .

We can represent these 2-morphisms by matchings of the points.

$$e^2 \left( \text{---}\bullet\bullet\bullet \rightarrow \text{---}\bullet \right) = \mathbb{C} \left\{ \begin{array}{c} \text{---}\bullet \\ \uparrow \quad \uparrow \\ \text{---}\bullet\bullet\bullet \end{array} \right\} \text{ modulo the relations}$$

$$\underbrace{\begin{array}{c} \uparrow \\ \downarrow \end{array}} = |0 = | \quad \quad \quad ) \mathbb{C} = \underbrace{\text{---}}_{\text{---}}, \quad \underline{\underline{0}} = \phi.$$

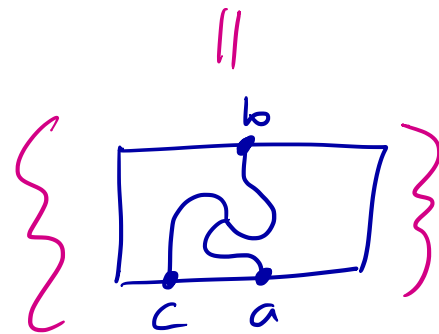
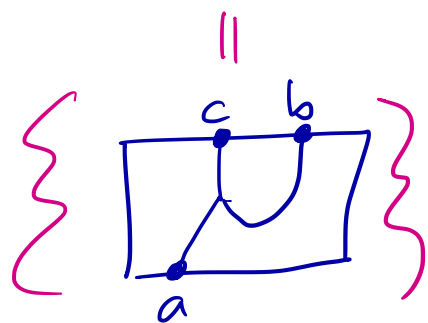
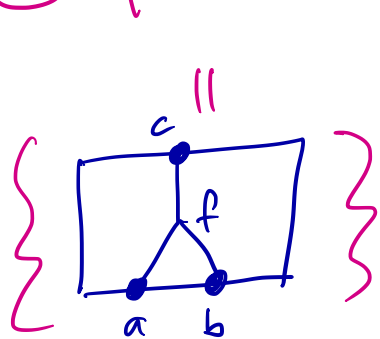
We're interested in linear categories, where the top-level morphisms form vector spaces. (TQFT, rather than TFT.)

(in fact, Hilbert spaces, with a  $*$ -operation compatible with reflection)

We'll be interested in higher categories which are "isotropic", that is, there's no real distinction between vertical and horizontal directions. (for  $n=2$ , "pivotal")

We formalise this by asking that we have isomorphisms

$$\mathcal{C}^2(ab \rightarrow c) \cong \mathcal{C}^2(a \rightarrow cb) \cong \mathcal{C}^2(ca \rightarrow b)$$



which we think of as "rotating" morphisms.

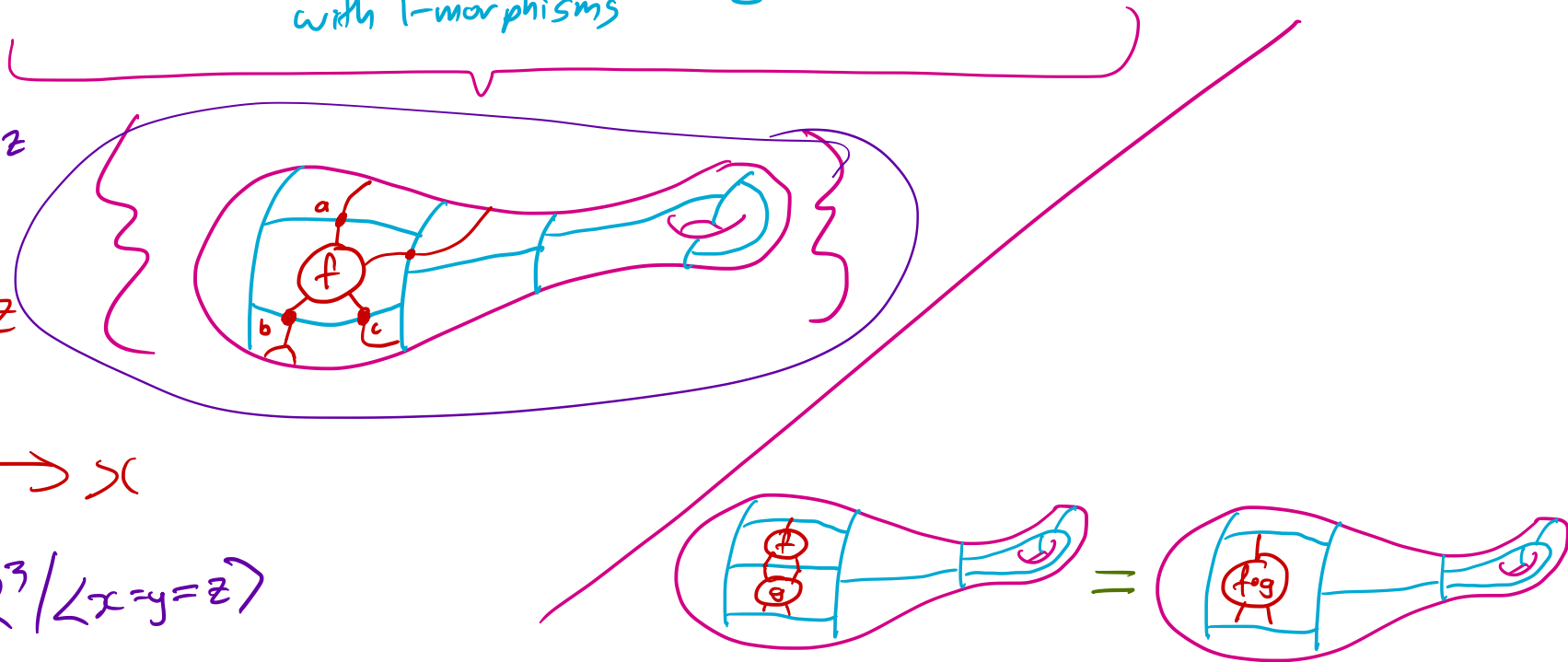
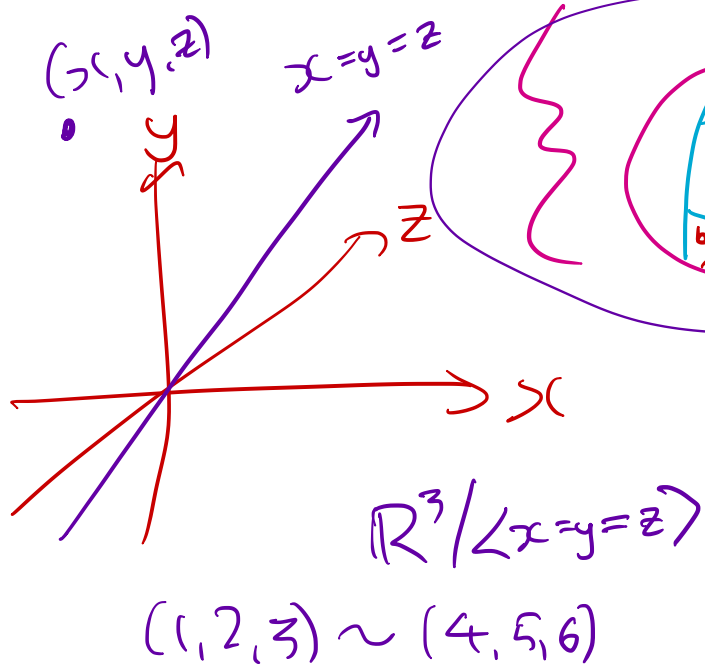
With these, it doesn't really matter what the source and target of a morphism are: just the labelling of the circular boundary.

# From higher categories to topological field theories.

From a 2-category, we can now associate a vector space to every 2-manifold:

$$e(\Sigma = \text{torus}) = \left( \text{circle with } + \right) \oplus \left( \text{circle with } + \right) \otimes \left( \text{circle with } \times \right) e^2(\text{Bic})$$

all ways to chop  $\Sigma$  into balls
all ways to label the boundaries of those balls with 1-morphisms
balls  $B$  with boundary  $c$



That was a bit scary!

Let's compute the vector space for the toric code on the torus:

$$e(\text{torus}) = e(\text{square with arrows}) = \mathbb{C} \left\{ \text{square with grid} \right\} / \text{gluing together balls}$$

$$= \mathbb{C} \left\{ \text{square with grid and paths} \right\} / \text{isotopy on the torus and } 16 = \mathbb{Z}, 0 = \emptyset.$$

$$\begin{aligned} & \text{[square with paths]} \parallel \text{[square with paths]} \\ & \text{[square]} = \text{[square with path]} \\ & = \mathbb{C} \left\{ \text{[empty square]}, \text{[square with vertical line]}, \text{[square with horizontal line]}, \text{[square with diagonal line]} \right\} \end{aligned}$$

So we get a 4-dimensional vector space.

In general for the toric code

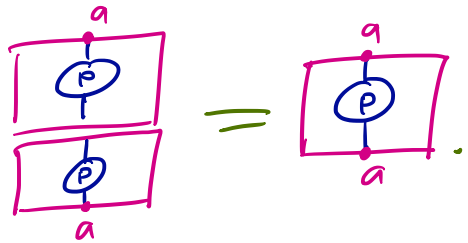
$$e(\Sigma) = \mathbb{C} \left\{ H^1(\Sigma, \mathbb{Z}/2\mathbb{Z}) \right\}.$$

The big question: can we consistently define linear maps for each 3-dimensional cobordism?

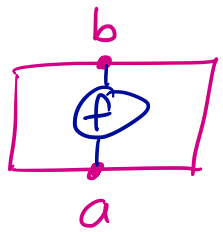
(that is — when do we get a TQFT?)

To answer this, we'll need to study projections in a 2-category.

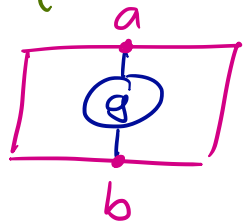
We say  $p \in \mathcal{E}^2(a \rightarrow a)$  is a projection if



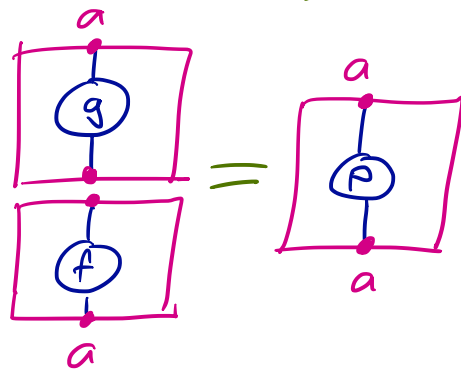
Two such projections  $p \in \mathcal{E}^2(a \rightarrow a)$  and  $q \in \mathcal{E}^2(b \rightarrow b)$  are said to be equivalent if there exist 2-morphisms



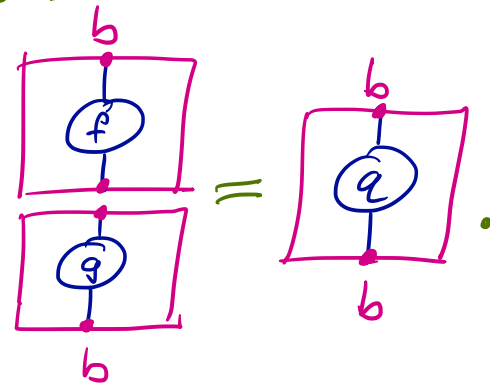
and



such that



and



A projection  $p \in e^2(a \rightarrow a)$  is simple if

$$\text{End}(p) := \left\{ \begin{array}{c} a \\ \square \begin{array}{c} \circlearrowleft p \\ \circlearrowright p \end{array} \\ a \end{array} \mid \begin{array}{c} \square \\ \circlearrowleft p \\ \circlearrowright p \end{array} = \begin{array}{c} \square \\ \circlearrowleft p \end{array} = \begin{array}{c} \square \\ \circlearrowleft p \\ \circlearrowright p \end{array} \right\}$$

is a 1-dimensional vector space.

We say that a (unitary) 2-category is fusion if it has finitely many equivalence classes of simple projections.

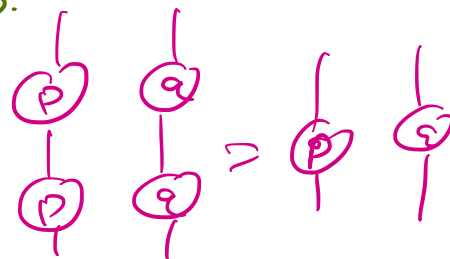
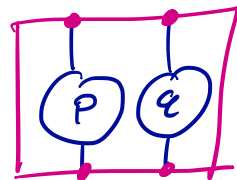
Theorem (The cobordism hypothesis in 2+1 dimensions)

$$\left\{ \begin{array}{c} 2+1\text{-d fully extended} \\ \text{TQFTs} \end{array} \right\} \longleftrightarrow \left\{ \text{fusion 2-categories} \right\}$$

# What do fusion 2-categories look like?

We can (partially) describe 2-categories by fusion rules.

If  $P$  and  $Q$  are projections, so is



However there's no need for  $p \otimes q$  to be simple, even if

$P$  and  $Q$  are. Generally,  $\text{End}(p \otimes q)$  is some multi-matrix

$$\text{algebra } M_{n_1 \times n_1}(\mathbb{C}) \oplus M_{n_2 \times n_2}(\mathbb{C}) \oplus \dots \oplus M_{n_k \times n_k}(\mathbb{C}),$$

and this means for some collection of simple projections  $v_i$

$$p \otimes q \cong \underbrace{v_1 \oplus \dots \oplus v_1}_{n_1 \text{ times}} \oplus \underbrace{v_2 \oplus \dots \oplus v_2}_{n_2 \text{ times}} \oplus \dots \oplus v_k \oplus \dots \oplus v_k$$

We think of simple projections as 'particle types' and this says

"a  $P$  and a  $Q$  particle can fuse together and form an  $v_1$  particle ( $n_1$  different ways), or an  $v_2$  particle, and so on."

Example Is there a fusion 2-category with two particles,  $\mathbb{1}$  and  $X$ , satisfying

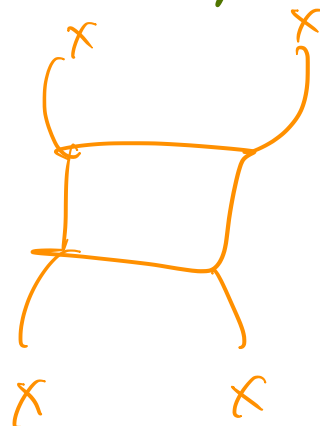
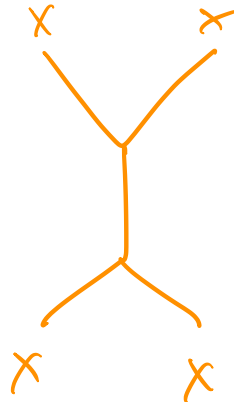
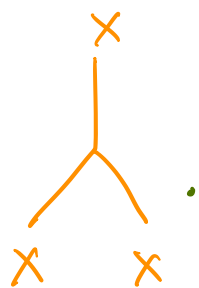
$$\mathbb{1} \otimes \mathbb{1} \cong \mathbb{1}, \quad \mathbb{1} \otimes X \cong X \otimes \mathbb{1} \cong X, \quad \text{and} \quad X \otimes X \cong \mathbb{1} \oplus X?$$

(Let's assume  $\mathbb{1}$  and  $X$  are actually objects in the category, not just projections.)

The two "fusion channels" for  $X \otimes X$  imply we have morphisms



and





Since  $\mathbb{1}$  and  $X$  are inequivalent simple objects,  
 they cannot have any maps between them:

$$\text{Cap} = \text{zero}.$$

Similarly, since  $X$  is simple  $\text{Cup} = z |$  for some scalar  $z$ ,  
 which we can normalise to be  $z = 1$ .

Since  $\text{End}(X \otimes X)$  is two-dimensional, we must have a linear relation

$$\text{Cup} + \alpha \text{Cap} + \beta \text{Cross} = \text{zero}$$

Then  $\text{Cup} + \alpha \text{Cap} + \beta \text{Cross} = \text{zero}$ , so  $\alpha \text{Cap} = -1$ .

Then  $\text{Cup} + \alpha \text{Cap} + \beta \text{Cross} = \text{zero}$ , so  $1 + \alpha + \beta = \text{zero}$ .

Finally, stacking a  $\cap$  on top we get

$$\cap + \alpha \overset{\text{zero}}{\cap} + \beta \cap = \text{zero}$$

$$\begin{aligned} \cap &= \text{zero}, \quad \cap = 1, \\ \alpha \cap &= 1, \quad \cap + \alpha + \beta = \text{zero} \\ \cap &(+ \alpha \cap + \beta \cap) = \text{zero} \end{aligned}$$

so  $\beta = -1$ . We now have  $-\alpha^{-1} + \alpha - 1 = \text{zero}$ ,

which we can solve to find  $\alpha = \frac{1 \pm \sqrt{5}}{2}$ , the golden ratio!

This relation  $\cap (+ \frac{1-\sqrt{5}}{2} \cap - \cap)$  tells us everything about the

category, e.g. we can evaluate all closed diagrams:

$$\begin{aligned} \triangle &= \triangle + \frac{1-\sqrt{5}}{2} \triangle \\ &= \text{zero} + \frac{1-\sqrt{5}}{2} \circ = -1 \end{aligned}$$

This category is called the golden category or the Fibonacci category, and it is perhaps relevant to the  $\nu = \frac{5}{2}$  FQHE.

Again, the TQFT vector spaces can be computed as for

the toric code:

$$\text{Fib}(\text{torus}) \cong \mathbb{C}^4$$

(same as the toric code)

$$\text{Fib}(\text{pair of pants}) \cong \mathbb{C}^{25}$$

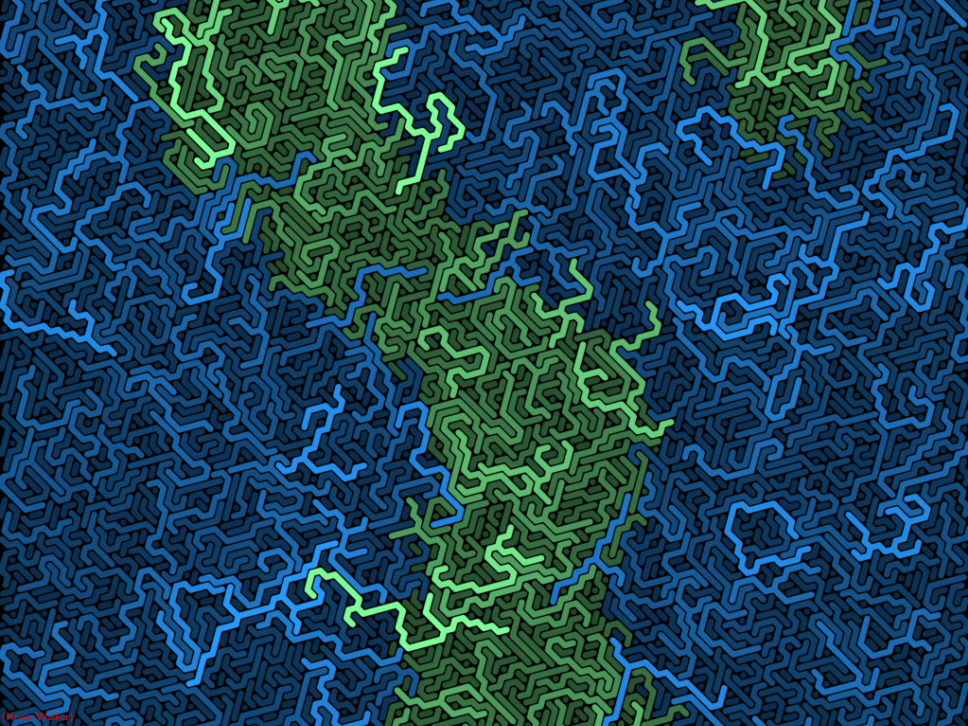
(only  $\mathbb{C}^{16}$  for the toric code)

The linear maps for cobordisms can be computed via a state sum: (Ocneanu, and Turaev-Viro)

$$Z(M^3) = \sum_{\substack{\uparrow \\ \text{closed,} \\ \text{for simplicity}}} \sum_{\substack{\text{label 2-cells} \\ \text{by simple} \\ \text{objects} \\ \alpha}} \prod_{\substack{\text{label 1-cells} \\ \text{by 2-morphisms} \\ \beta}} \prod_{\text{3-cells}} \mathbb{D}^{-1} \prod_{\text{2-cells}} \text{circle}^{\alpha} \prod_{\text{1-cells}} \text{arc}^{\alpha, \beta} \prod_{\text{0-cells}} \text{vertex}^{\alpha, \beta}$$

$\uparrow$  global dimension

(tomorrow we'll talk about how this relates to Levin-Wen Hamiltonians)



Recall last time we proved that a fusion 2-category with fusion rules  $X^2 = 1 + X$  satisfied the following local identities:

$$O = \frac{1+\sqrt{5}}{2} \quad \bigcirc = \text{zero} \quad \bigcirc = /$$

$$\text{Y-junction} = \left( \text{ ) } + \frac{1-\sqrt{5}}{2} \text{ } \right. \quad \text{triangle} = -1$$

These allowed us to evaluate all closed diagrams and hence compute the linear maps associated to cobordisms in the associated fully extended 2+1-d TQFT, via a state sum formula:

$$Z(M^3) = \sum_{\text{closed, for simplicity}} \sum_{\text{label 2-cells by simple objects}} \prod_{\text{label 1-cells by 2-morphisms } \beta} \prod_{\text{3-cells}} \mathbb{Q}^{-1} \prod_{\text{2-cells}} \bigcirc^\alpha \prod_{\text{1-cells } \beta} \bigcirc^\alpha \prod_{\text{0-cells}} \bigcirc^\alpha$$

↑ global dimension


In fact, the only fusion 2-categories with two particle types

are the two we've discussed:

the toric code and the golden category.

The classification of fusion categories is hard, but we have some results:

- complete classification for  $\leq 3$  particle types,  
almost complete for 4.

- complete classification if there is a "self-dual" particle  
with dimension  $\leq 2.29$  (dimension is the asymptotic growth rate of )

- partial classifications e.g. if there is a particle

$$X \otimes X \cong 1 \oplus X \oplus A \oplus B$$

So far, all known examples come from

- quantum groups at roots of unity

(e.g.  $\text{Fib} \cong \text{SO}(3)_1$ )

- finite groups

(e.g. the toric code is  $\text{Rep } \mathbb{Z}/2\mathbb{Z}$ )

- various constructions starting from these

- Izumi's "quadratic categories"

- a few "sporadic" examples

↳ are these really exceptional?

or just the first signs of a big mess?

What about higher dimensions?

We don't know how to continue the sequence

$0+d$	$1+d$	$2+d$
{ finite dimensional vector spaces }	{ semisimple categories }	{ fusion 2-categories }

classifying fully extended  $n+1$ -dimensional TQFTs.

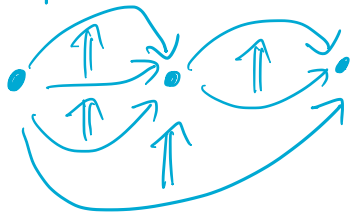
The cobordism hypothesis gives an abstract characterisation  
(as "fully dualizable objects in  $n\text{CAT}$ ")

but even in the physically interesting  $3+1$ -d case we would like  
both interesting examples and  
an explicit description of fully dualizability.



# higher categories

"spacetime lego"



quantum symmetries

(anyonic) topological matter

Levin-Wen type constructions

approximate mathematical description?

skein theory and state sums

the cobordism hypothesis

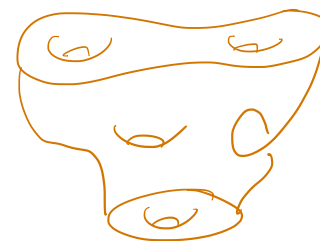
the value at a point

## (extended) topological quantum field theories

... lower dimensional manifolds



n-manifolds



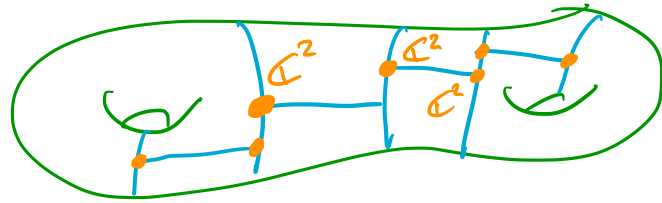
n+1 dimensional cobordisms

more complicated algebraic data

vector spaces

linear maps

Today: Given a fusion 2-category  $\mathcal{C}$  corresponding to some fully extended 2+1-d TQFT, we will construct a local lattice Hamiltonian ( $\sim$  Levin-Wen)



$$\mathcal{H} = \bigotimes_{\text{vertices}} \mathcal{C}^n$$

$$H = \sum_{\text{edges}} H_e + \sum_{\text{faces}} H_f$$

with the property that the ground state is degenerate, and canonically identified with the TQFT vector space for the underlying surface.

Even better, we will be able to identify the excited states in terms of the Drinfeld centre of  $\mathcal{C}$ .

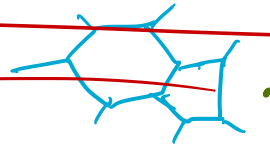
Suppose  $\mathcal{C}$  has  $n$  (e.g.  $n=2$ ) simple particle types.

It will be convenient to put local Hilbert spaces on both vertices and edges.

On each edge, put a copy of  $\mathbb{C}^n$ , with basis labelled by particle types.

~~For simplicity (but this is not important) let's assume our graph~~

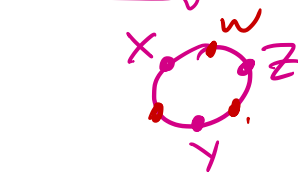
~~on the surface is trivalent~~



On each vertex, put a copy of  $V = \bigoplus_{w, X, Y, Z} \mathbb{C}^2(1 \rightarrow X Y Z)$ .



$w, X, Y, Z$   
particle types



(In fact, it's good enough to put  $\mathbb{C}^k$ , where

$$k = \max_{X, Y, Z} \dim \mathbb{C}^2(1 \rightarrow X Y Z).$$

Example For the toric code, this means putting a copy of  $\mathbb{C}^2$  on each edge.

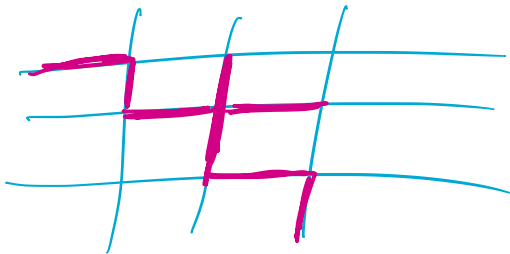
(The inequivalent projections in the toric code category are

$$P = \phi \sim \parallel \sim \text{||||} \sim \dots \quad \text{and} \quad Q = | \sim \text{||} \sim \text{||}|$$

Since  $e^2(1 \rightarrow XYZ) = \begin{cases} \mathbb{C} & \text{if an even number of } X, Y, Z \text{ are } Q \\ 0 & \text{otherwise} \end{cases}$

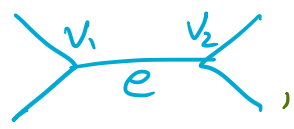
we can get away with just a copy of  $\mathbb{C}$  at each vertex (which is the same as putting nothing there).

In fact, this is still the case if we allow higher valence vertices.



Next we'll describe the Hamiltonian in two steps:

$$H = \sum_{\text{edges}} H_e + \sum_{\text{faces}} H_f.$$

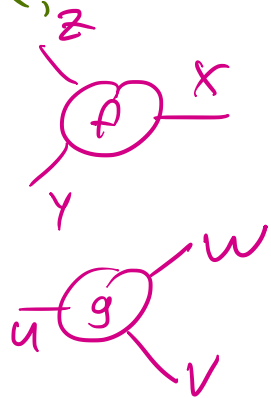
For a given edge   $v_1$   $v_2$ ,  $H_e$  acts on

$$\mathcal{H}_e \otimes \mathcal{H}_{v_1} \otimes \mathcal{H}_{v_2} = \mathbb{C}^n \otimes \left( \bigoplus_{X,Y,Z} e^2(1 \rightarrow XYZ) \right) \otimes \left( \bigoplus_{U,V,W} e^2(1 \rightarrow UVW) \right)$$

A typical element here is  $P \otimes f \otimes g$ , where  $P$  is a particle type,

$f \in e^2(1 \rightarrow XYZ)$  for some particle types  $X, Y, Z$

$g \in e^2(1 \rightarrow UVW)$  ————— " —————  $U, V, W.$

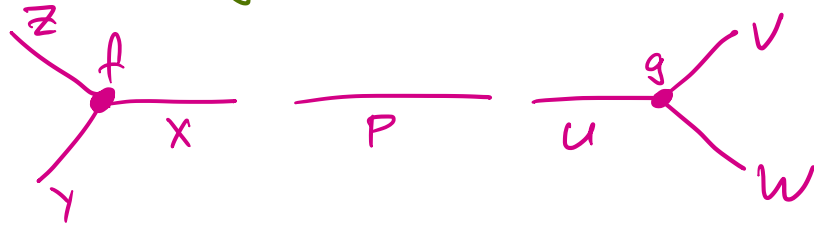


$H_e$  is a projection (i.e. it has eigenvalues 0 and 1)

$$H_e(P \otimes f \otimes g) = \begin{cases} 0 \cdot P \otimes f \otimes g & \text{if } P = X = U \\ 1 \cdot P \otimes f \otimes g & \text{otherwise.} \end{cases}$$

To understand this, we should imagine the local state

$$P \otimes f \otimes g \text{ as}$$

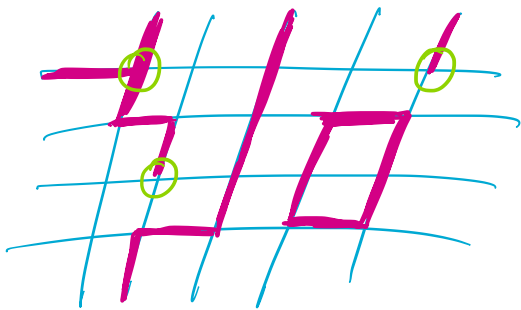


This Hamiltonian says the low-energy states are the ones where  $X = P = U$ , i.e. everything matches up.

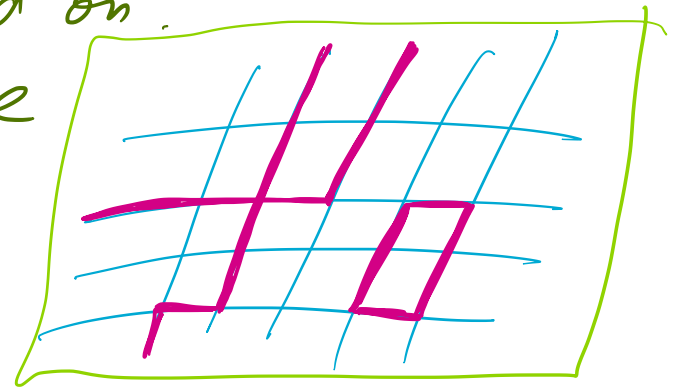
We still need to define the face terms, but let's understand the ground state "so far"

Example For the toric code, with  $\mathbb{C}^2$  on each edge, we can represent a basis vector in  $\mathcal{H} = \bigotimes_{\text{edges}} \mathbb{C}^2$

as a subset of the edges "turned on".

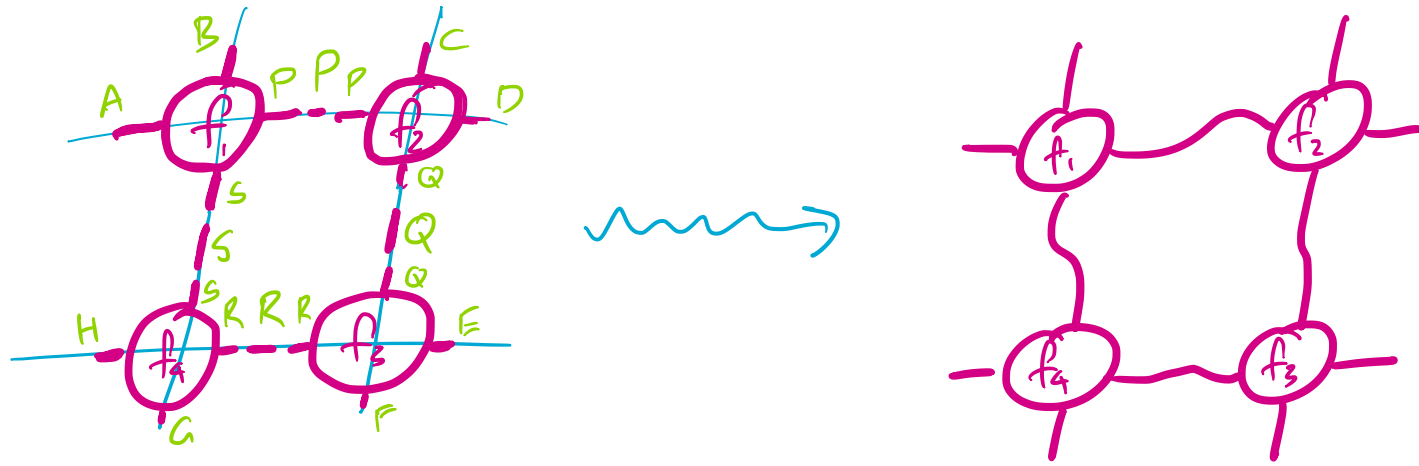


The ground states are those at which every vertex has an even number of edges turned on.



Notice that given any groundstate for the edge Hamiltonian, we can interpret it as an element of the TQFT vector space for the surface we are looking at!

Each state looks like (a linear combo of)



This is certainly not a 1-1 map.

For example, in the toric code



the same vector, as do



To construct  $H_f$ , we'll first define

$$R_{f,x} \left( \begin{array}{ccc} \textcircled{p} & \xrightarrow{Q} & \textcircled{q} \\ |P & & |R \\ \textcircled{k} & \xrightarrow{S} & \textcircled{h} \end{array} \right) = \sum_{\alpha} \left( \begin{array}{ccc} \textcircled{p} & \xrightarrow{\alpha} & \textcircled{q} \\ | & \text{---} & | \\ \textcircled{k} & \xrightarrow{\alpha} & \textcircled{h} \end{array} \right) = \sum_{\text{labels } P', Q', R', S'} \left( \begin{array}{ccc} \textcircled{p} & \xrightarrow{Q'} & \textcircled{q} \\ |P' & & |R' \\ \textcircled{k} & \xrightarrow{S'} & \textcircled{h} \end{array} \right)$$

some face  $\nearrow$  particle type  $\nwarrow$   $R_{f,x}$  acts the tensor product of the Hilbert spaces around  $f$ .

What's going on here? Recall the product of two simple objects is generally not simple.

This means we can write

$$\begin{array}{c} | \\ P \end{array} \begin{array}{c} | \\ Q \end{array} = \sum_{R \text{ simple}} \sum_{\alpha} \begin{array}{c} P \quad Q \\ \textcircled{\alpha^*} \\ |R \\ \textcircled{\alpha} \\ P \quad Q \end{array}$$

We do this in the green box above, and at each edge of the face.



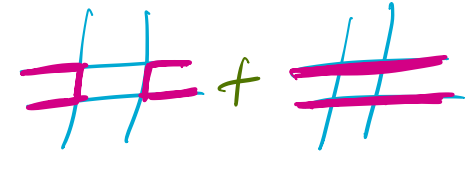
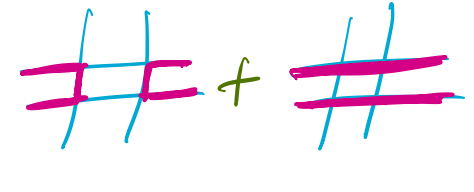
We then define  $H_f = I - \mathcal{D}^{-1} \sum_{x \text{ simple}} d_x R_{f,x}$ .

Lemma all the terms  $H_e, H_f$  commute with each other (so the groundstate of  $H$  is the intersection of all the ground states).

Theorem on the groundstate of  $H$ , the "interpretation" map gives an isomorphism to the TQFT Hilbert space.

Example In the toric code  $R_{f,p} = I$   
 $R_{f,q}$  flips all the edges adjacent to  $f$ .

$$H_f = \frac{1}{2} I - \frac{1}{2} \text{Flip.} \quad \mathcal{D} = \mathbb{Z}$$

Thus  +  and 

are in the groundstate, but the individual terms are not.

$$H_f = \frac{1}{2} I - \frac{1}{2} \text{Flip.}$$

$$\begin{array}{|c|} \hline \text{---} \\ \hline \end{array} + \begin{array}{|c|} \hline \text{---} \\ \hline \end{array}$$

$$H_f \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} = \frac{1}{2} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} - \frac{1}{2} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array}$$

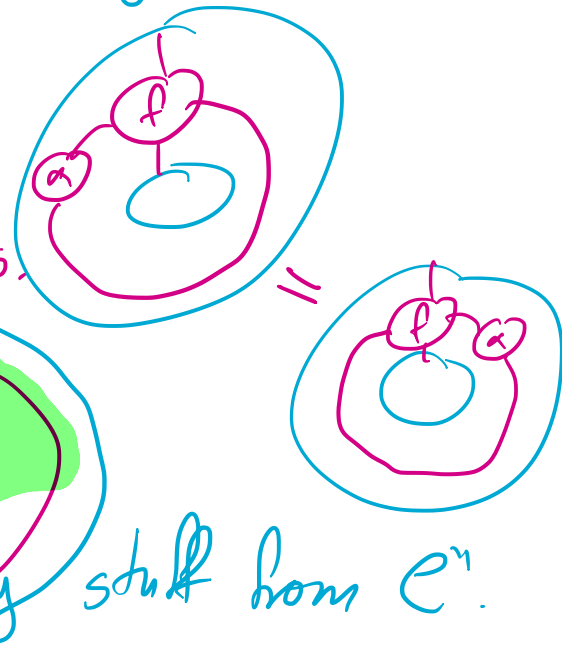
$$H_f \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} = \frac{1}{2} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} - \frac{1}{2} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array}$$

We can also describe the local excitations in terms of category theoretic invariants of  $\mathcal{C}$ .

The tube algebra of  $\mathcal{C}$  is the finite dimensional algebra of diagrams drawn on an annulus:

$$\text{Tube}(\mathcal{C}) = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \end{array} \right\}$$

$a, b, c$  should be simple particles.



A representation of the tube algebra is

"a gadget that can sit a point, surrounded by stuff from  $\mathcal{C}$ ".

We can make a 3-category

$$\mathcal{Z}(\mathcal{C}) = \begin{array}{c} \{ \bullet \} \\ \hookrightarrow \\ \{ \bullet \} \\ \hookrightarrow \\ \{ \text{RepTube}(\mathcal{C}) \} \\ \hookrightarrow \\ \{ \text{intertwiners} \} \end{array}$$

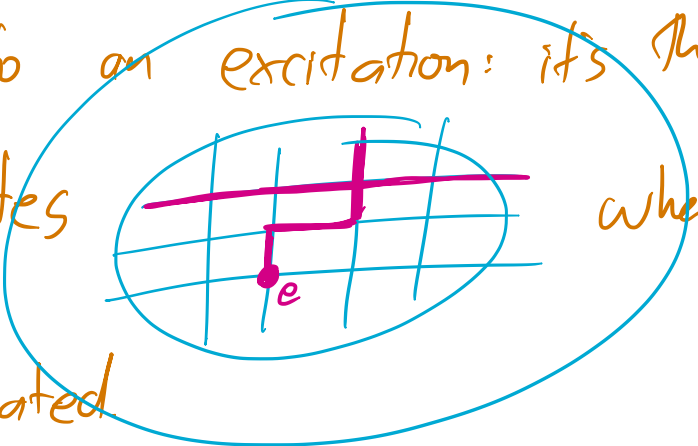
called the Dunkel centre of  $\mathcal{C}$ .

Example there are 4 simple particle types in  $\mathbb{Z}$  (toric code)

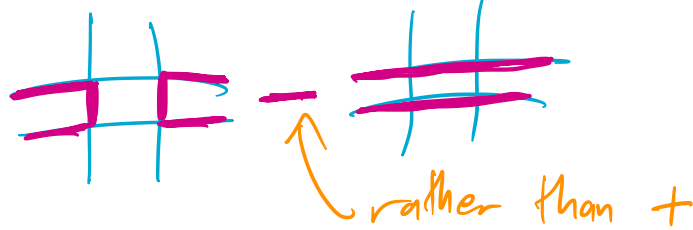
and we can use these to give examples of energy 1 states in the toric code Hamiltonian.

The particle types form a  $\mathbb{Z}/2 \times \mathbb{Z}/2$  under product, let's name them  $1, e, m, em$ .

$1$  does not correspond to an excitation: it's the ground state.

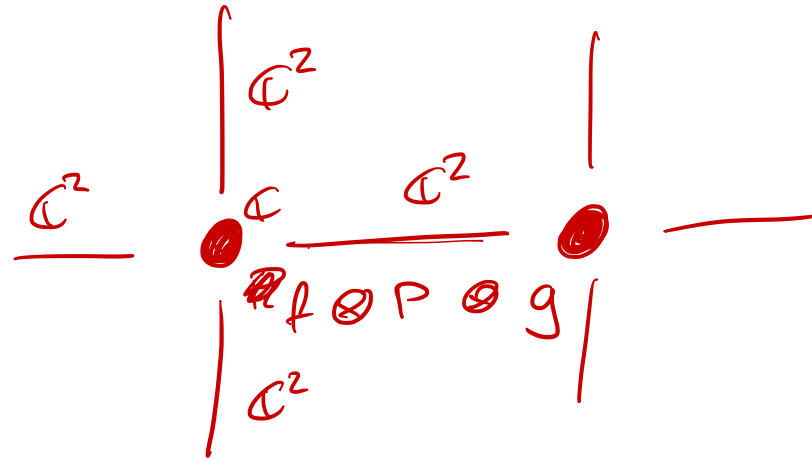
$e$  corresponds to states  where the parity condition is violated.

$m$  to states



## Future directions

- describe domain walls between topological phases via bimodule categories
- describe interactions between domain walls via generalisations of the Drinfeld centre
- explain the connection between the Lenn-Wen Hamiltonian and tensor network ground states via higher dimensional algebra.
- reconstruct a higher category from a topological phase without going via the TQFT and the cobordism hypothesis.



$$H_e(f \otimes P \otimes g) = \left\{ \begin{array}{l} 0 \cdot f \otimes P \otimes g \\ 1 \cdot f \otimes P \otimes g \end{array} \right.$$

$f$  things match,  
and  $f$  sits  
 in the subspace  
 it's meant to.