

# Khovanov homology as a 4-category

①

$$Kh^0(\bullet) = \{ \bullet \},$$

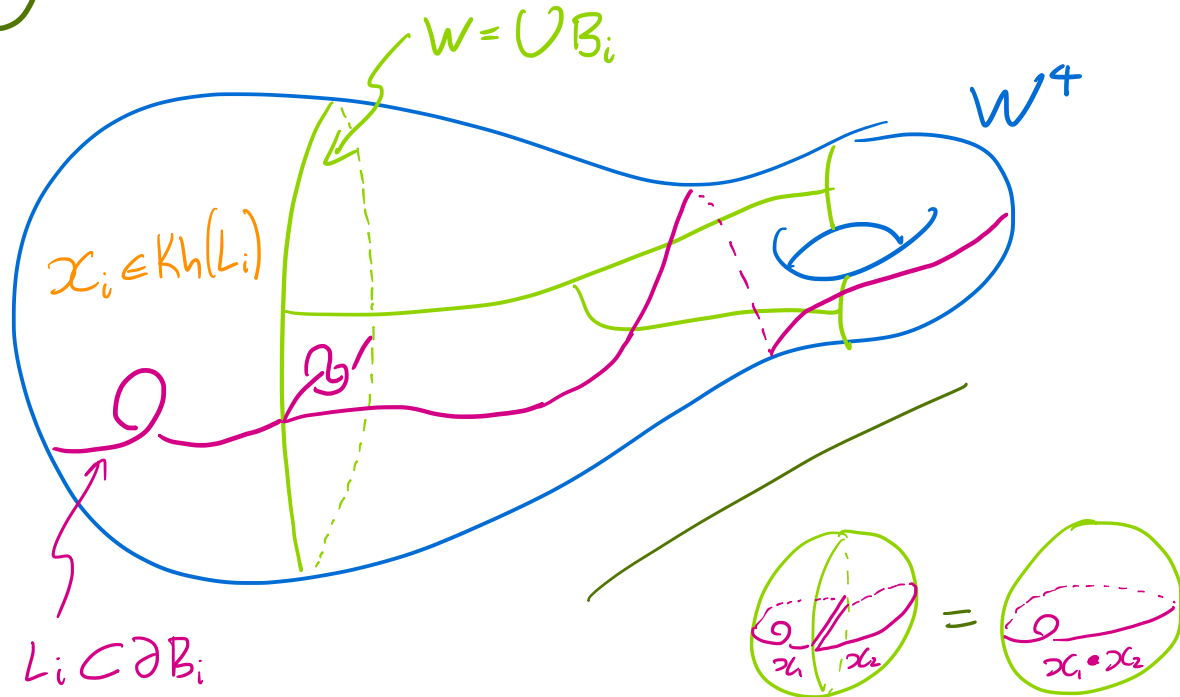
$$Kh^1(\sim) = \{ \sim \},$$

$$Kh^2(\square) = \{ \square \text{ with } 2 \text{ dots} \},$$

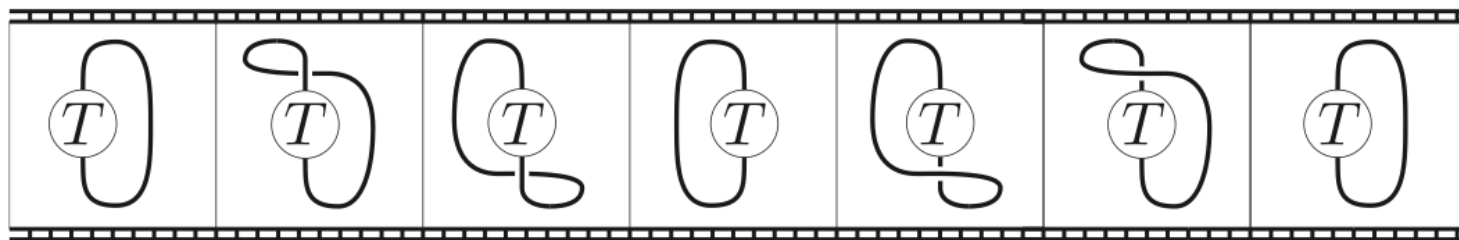
$$Kh^3(\text{circle with } 4 \text{ dots}) = \{ \text{circle with } 4 \text{ dots and } 1 \text{ link} \},$$

$$Kh^4(\text{circle with } \mathbb{G}^L \text{ and } B^+) = Kh(L).$$

②



③




From an  $n$ -category  $\mathcal{C}$  with "enough duality" we obtain  
 a vector space valued invariant  $\int_M \mathcal{C}$  of oriented  $n$ -manifolds  $M$ .

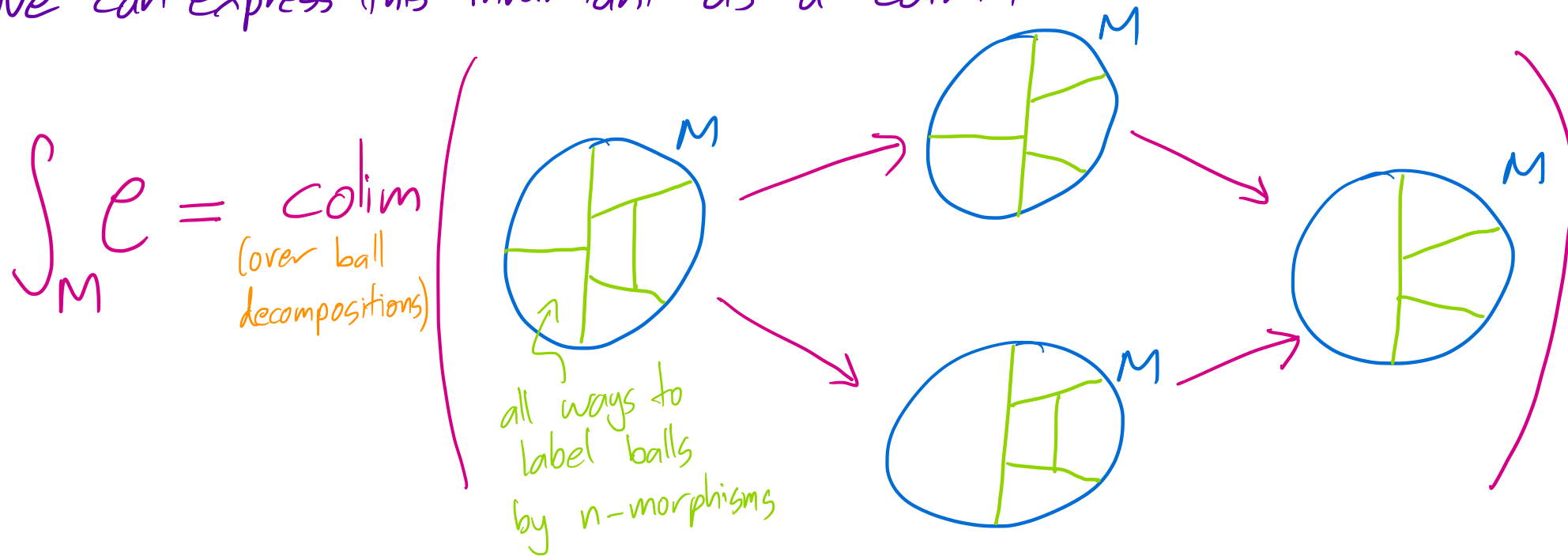
Example  $\mathcal{C} = \text{TL}$  at any value of  $q$ .

$$\int_{\mathbb{T}^2} \text{TL} = \mathbb{K} \left\{ \text{Diagram} \right\} / \text{mod TL relations, } 0 = e + e^{-1}$$

(The "Kauffman skein module")



We can express this invariant as a colimit:



This construction is diffeomorphism invariant, and satisfies good gluing rules.

If the category satisfies some nondegeneracy and finiteness conditions, then

- these vector spaces are finite dimensional
- we also have linear maps associated to  $(n+1)$ -dimensional cobordisms.

Example the right sort of 2-category is a pivotal (multi) fusion category, and then this construction is describing the Turaev-Viro TQFTs.

If the category further satisfies a "trivial centre" property,

then these invariants become trivial (in arbitrary dimension, this is speculation!)

(i.e. 1-d vector spaces for closed  $n$ -manifolds)

but there is still information in their relative versions.

Example • We can think of a braided tensor category (e.g.  $\text{Rep} U_q(\mathfrak{g})$ )  
as a "2-boring 3-category".

- We automatically get vector spaces for 3-manifolds.
- If the category is finitely semisimple, we get numerical invariants of 4-manifolds (the Crane-Yetter TQFTs).
- If the category is modular, the invariant of a 3-manifold only depends on its 2-manifold boundary, and similarly for 4-manifolds.

These are the Reshetikhin-Turaev invariants.

- All of this discussion is to say what we are not doing.
- Categorifying RT 3-manifold invariants for  $\text{Rep}U_q \mathfrak{g}$ ,  $q^2=1$ , is a fine goal.
- We're content to merely categorify the ~~Crane-Yetter~~ 3-manifold invariants, to produce vector space valued invariants of 4-manifolds.

Khovanov homology gives a 4-category  
in an almost tautological way:

$$Kh^0(\bullet) = \{ \bullet \},$$

$$Kh^1(\sim) = \{ \sim \},$$

$$Kh^2(\square) = \{ \square \text{ with 3 dots} \},$$

$$Kh^3(\text{circle with dots}) = \{ \text{circle with dots and link} \},$$

$$Kh^4(\text{circle with link})^{B^1} = Kh(L).$$

To say this constitutes a 4-category  
we need to specify how to compose  
4-morphisms —

this is provided by functoriality:

$$Kh^a(\text{link } T_1 = T_2) \otimes Kh^a(\text{link } T = T_3)$$

$$\rightarrow Kh(\text{link } T_1 = T_2 \text{ and } T = T_3)$$

$$\rightarrow Kh(\text{link } T_1 = T_2 = T = T_3) \xrightarrow{\text{cancel}} \downarrow$$

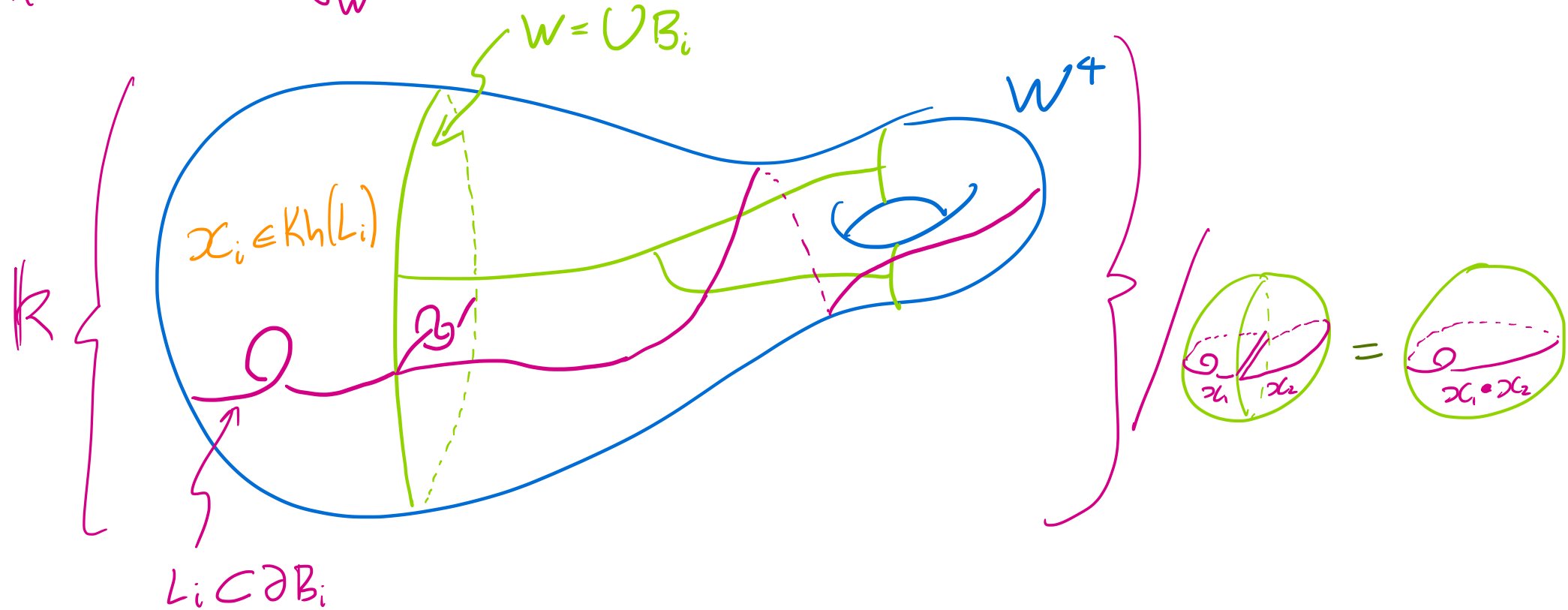
$$\rightarrow Kh(\text{link } T_1 = T_3)$$

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There's one wrinkle: we need  $Kh(L)$  to be a functorial invariant  
of links in the 3-sphere.

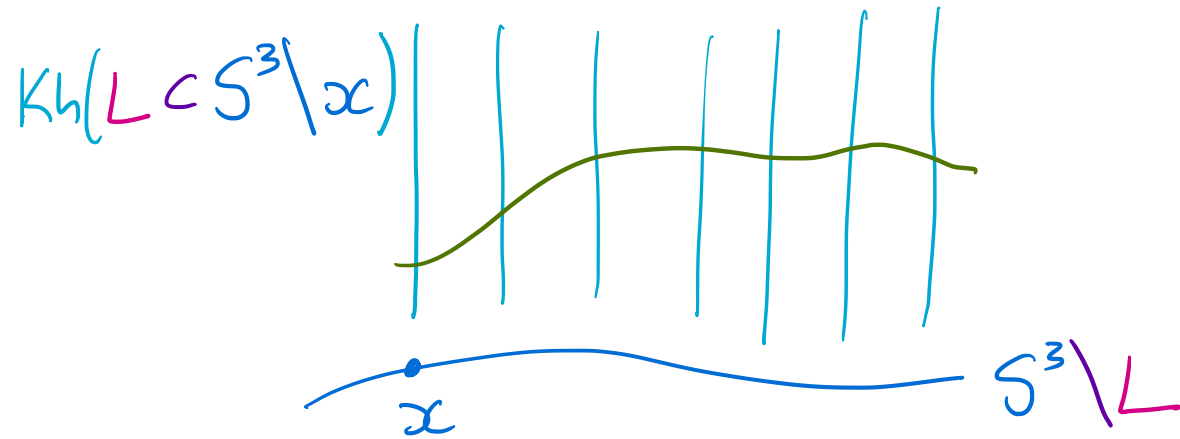
Putting all this together, our standard recipe gives a 4-manifold invariant:

$$Kh(L \subset \partial W^4) = \int_W Kh =$$

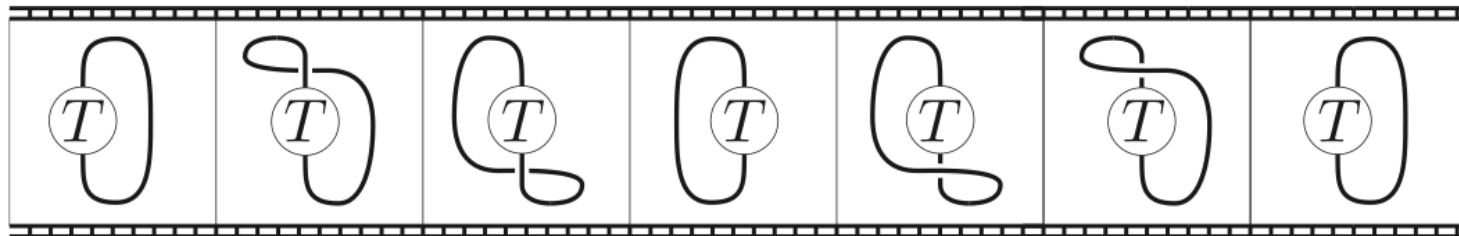


Generically, links in  $S^3$  avoid the north pole, and indeed cobordisms do too. However isotopies of cobordisms do not.

We define  $\text{Kh}(L)$  as the flat sections of a bundle:

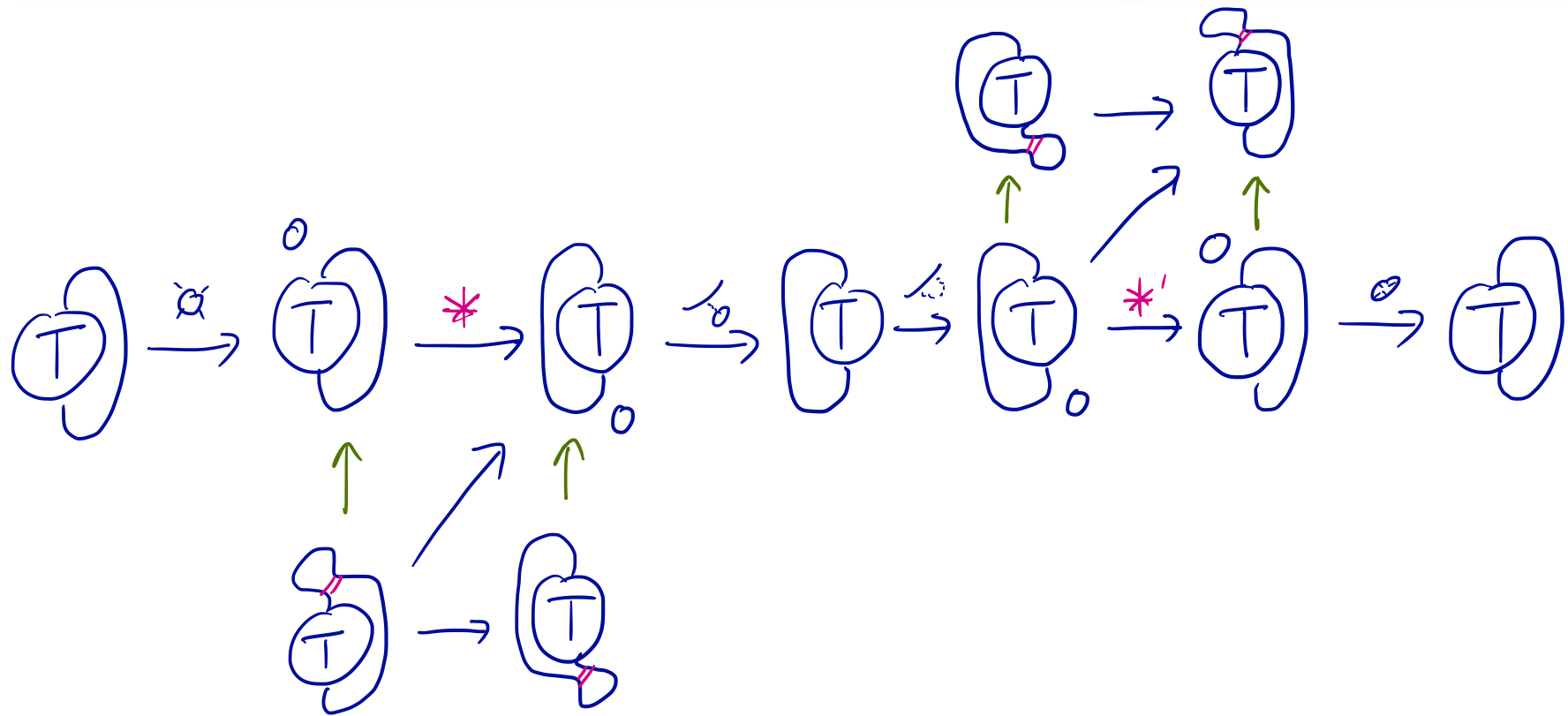
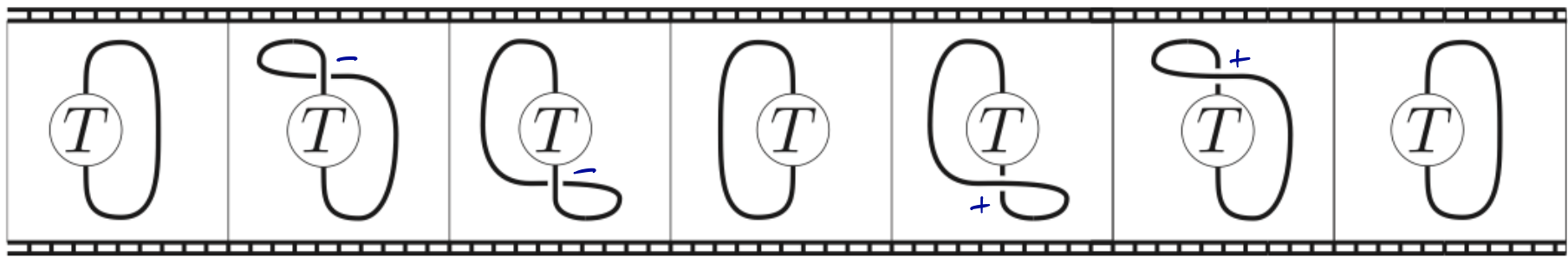


For this to give a sensible answer, we need to know the bundle has trivial monodromy, or equivalently that:



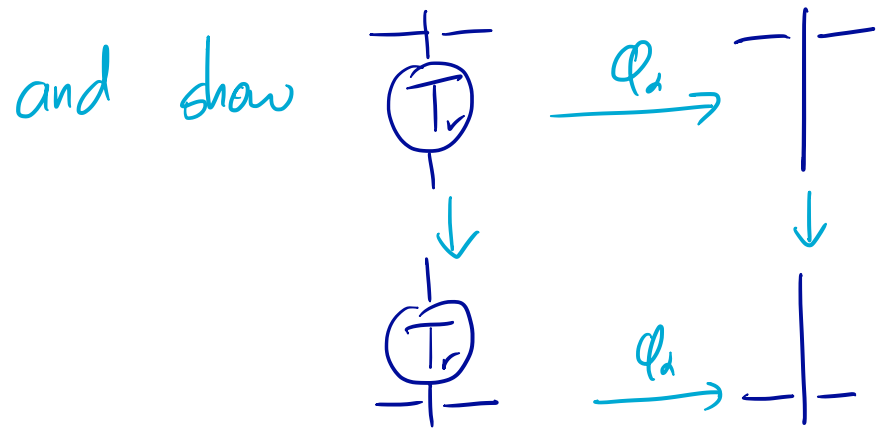
induces the identity map for every tangle  $T$ .



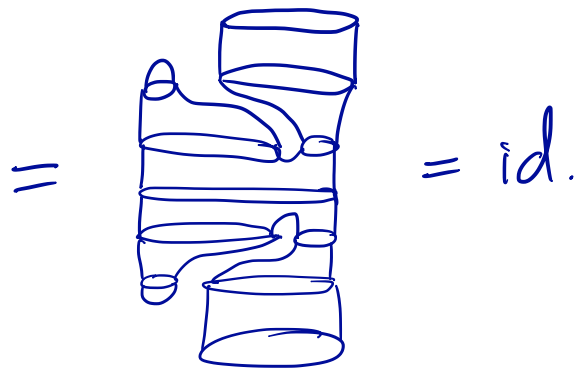
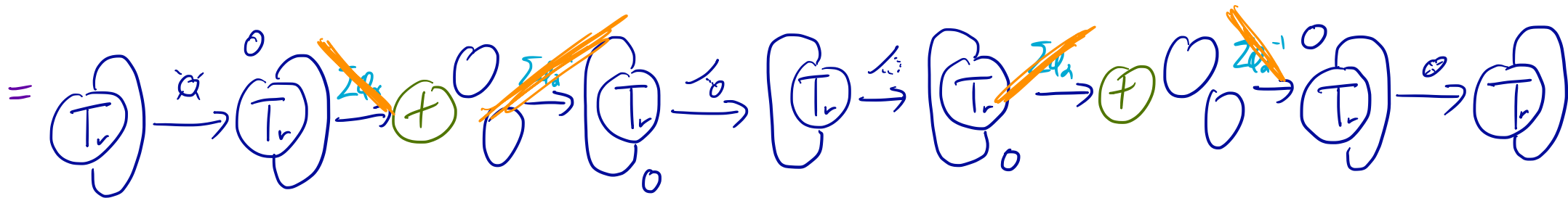
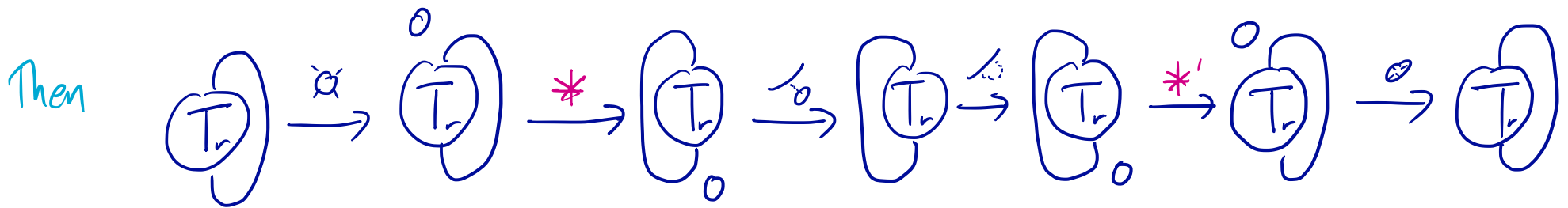


$H_1$  by an isotopy, we assume  $T$  is a braid closure,  $*$  is diagonal writ. resolutions of  $T$ , with components induced by cobordisms.

For each resolution  $T_r$ , we construct an isomorphism  $T_r \cong \bigoplus_a^{\varphi} |$



commutes on the nose.  
 ( $\phi_\alpha$  is defined in steps; only certain movie moves occur)



Kevin will say more on Thursday about gradings and genus bounds!

## Notes

- we work in the " $gl_2$ " version of Khovanov homology, which is functorial over  $\mathbb{Z}$ .
- we partially define an extension to an invariant of tangled  $gl_2$  webs, but only prove enough about functoriality for our purposes.