## Math 3325, 2016 — Assignment 4

## Hand in by 5pm on November 4

This assignment is worth 100 marks: 80 for the questions below, and 20 for writing quality.

- (1) (i) (5 marks) Let A be a bounded self-adjoint operator. Show that  $U = (A iI)(A + iI)^{-1}$  is unitary.
  - (ii) (5 marks) Let X be a bounded operator. Show that  $\sigma(X^*) = {\overline{\lambda} | \lambda \in \sigma(X)}$  and that if X is invertible then  $\sigma(X^{-1}) = {\lambda^{-1} | \lambda \in \sigma(X)}$ .
  - (iii) (5 marks) Show that the only positive unitary operator is *I*.
- (2) Let *A* be a bounded self-adjoint operator.
  - (i) (5 marks) Show that  $A \ge kI$  for  $k \in \mathbb{R}$  if and only if  $\sigma(A) \subset [k, \infty)$ .
  - (ii) (5 marks) Show that if  $A \ge I$ ,  $A^n \ge I$  for every positive integer n.
- (3) Let S be a linear subspace of C([0,1]). Since C([0,1]) is a subset of  $L^2([0,1])$  we can also regard it as a subspace of  $L^2([0,1])$ . We assume that S is closed as a subspace of  $L^2([0,1])$ , i.e., in the  $L^2$  topology.
  - (i) (5 marks) Show that S is a closed subspace of C([0,1]) (under the sup norm).
  - (ii) (5 marks) Show that there exists M > 0 such that for all  $f \in S$ ,

$$||f||_2 \le ||f||_\infty \le M||f||_2.$$

(Use the closed graph theorem.)

(iii) (5 marks) Fix  $y \in [0, 1]$ . Show that there exists a function  $k_y \in L^2([0, 1])$ , with  $||k_y||_{L^2([0,1])} \leq M$ , such that

$$f(y) = \int_0^1 k_y(x) f(x) \, dx$$

for all  $f \in S$ . (Use the Hilbert space Riesz representation theorem.)

- (iv) (5 marks) Show that the  $L^2$  unit ball of S is compact, and hence that S finite dimensional. (Show that a sequence in the unit ball which converges weakly converges in norm.)
- (4) Let  $p \in (1, \infty)$ . Let  $l^p$  denote the Banach space of p-summable sequences of complex numbers, and let  $e_i$  denote the element of  $l^p$  with jth entry equal to 0 for  $j \neq i$ , and 1 for j = i.

(i) (5 marks) Show that a sequence  $(x_n)$  in  $l^p$ , where  $x_n = (a_n^m)_{m=1}^{\infty}$ , converges weakly to zero iff the norms  $||x_n||$  are uniformly bounded, and  $a_n^m$  converges to 0 in  $\mathbb{C}$  for each fixed m as  $n \to \infty$ .

Define the set  $F \subset l^p$  by

$$F = \{e_m + me_n \mid m < n, m, n \in \mathbb{N}\}.$$

- (ii) (5 marks) Show that *F* is closed in the strong topology.
- (iii) (5 marks) Show that 0 is in the closure of F in the weak topology.
- (iv) (5 marks) Show that there is no sequence contained in *F* that converges weakly to zero. (Use the result of part (a)). Remark: parts (c) and (d) shows that the weak topology is not metrizable, since for a metrizable topology, the closure of a set is precisely the set of limit points of convergent sequences from that set.
- (5) (i) (5 marks) Suppose that X is a Banach space, and that  $x_i$  is a sequence in X converging weakly to x. Show that

$$||x|| \leq \limsup_{i \to \infty} ||x_i||.$$

- (ii) (5 marks) Suppose that X and Y and Banach spaces, and that  $T: X \to Y$  is a bounded linear transformation. Show that T is also continuous if both X and Y are given the weak topology.
- (iii) (5 marks) Let  $\phi \in C_c^{\infty}([-1, 1])$  be a smooth, compactly supported function with integral 2. Consider the sequence of measures on [-1, 1]:

$$\mu_n = n\phi(nx)dx,$$

where dx is Lebesgue measure. These measures converge in the weak-\* topology. What is the limit measure?

- (6) Optional (not for credit):
  - (i) Let  $(A_n)$  be a decreasing sequence of nonempty closed balls in a Banach space. Show that the intersection of the  $A_n$  is nonempty. (Do not assume that the radii converge to zero.)
  - (ii) Let  $(B_n)$  be a decreasing sequence of closed, bounded, nonempty convex sets in a reflexive Banach space Y. Show that the intersection of the  $B_n$  is nonempty. (Hint: first show that the sets  $B_n$  are weakly closed, using the Separating Hyperplane theorem. If you can't, assume it and complete the rest of the problem, using Banach-Alaoglu.)
  - (iii) Let  $X = L^1(\mathbb{R})$ . Find a decreasing sequence of closed, bounded, nonempty convex sets  $C_n \subset X$  whose intersection is empty.